



Faculdade de Medicina de São José do Rio Preto
Programa de Pós-Graduação em Ciências da Saúde

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**“EVOLUÇÃO CLÍNICA DA
INSUFICIÊNCIA CARDÍACA SISTÓLICA
CRÔNICA SECUNDÁRIA À DOENÇA DE
CHAGAS COMPARADA ÀS DEMAIS
CARDIOMIOPATIAS DE ETIOLOGIAS
NÃO-CHAGÁSICAS”**

São José do Rio Preto

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Marcelo Arruda Nakazone

**Evolução Clínica da Insuficiência Cardíaca
Sistólica Crônica Secundária à Doença de Chagas
Comparada às Demais Cardiomiopatias de
Etiologias Não-Chagásicas**

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Sistólica Crônica Secundária à Doença de Chagas
Comparada às Demais Cardiomiopatias de
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Lista de abreviaturas e símbolos

AUC	Area Under the Curve
CC	Chagas Cardiomyopathy
CHF	Chronic Heart Failure
CKD	Chronic Kidney Disease
CKD-EPI	Chronic Kidney Disease Epidemiology Collaboration
CI	Confidence Interval
CrCl	Creatinine Clearance
GFR	Glomerular Filtration Rate
HR	Hazard Ratio
ICD	Implantable Cardioverter-Defibrillator
LAFB	Left Anterior Fascicular Block
LVEF	Left Ventricular Ejection Fraction
LVDD	Left Ventricular end-Diastolic Diameter
LVRR	Left Ventricular Reverse Remodeling
NYHA	New York Heart Association
OR	Odds Ratio
ROC	Receiver-Operating Characteristic

Resumo

Introdução: A Insuficiência Cardíaca Sistólica Crônica (ICC) é causa líder de morbidade e mortalidade em todo o mundo, representando um dos maiores problemas de saúde pública, com crescente elevação de incidência e prevalência. A probabilidade de sobrevida pode variar dentre as diferentes etiologias e cenários de pacientes com ICC. Neste contexto, ICC secundária à Cardiomiopatia Chagásica (CC) mostra um pior prognóstico comparado às demais etiologias, principalmente na América Latina onde a doença é endêmica. **Objetivos:** [Artigo1] determinar se a presença de Remodelamento Reverso Ventricular Esquerdo poderia prever mortalidade a longo prazo em pacientes com CC; [Artigo 2] avaliar a performance de predição de risco da equação Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) e anemia em pacientes com ICC secundária a CC; [Artigo 3] desenvolver e validar um método simples para prever mortalidade a longo prazo em nível ambulatorial de pacientes com ICC em área endêmica de Doença de Chagas. **Material e Métodos:** De Janeiro a Dezembro de 2010, [Artigo 1] o prontuário médico de 159 pacientes foram revisados. Remodelamento Reverso Ventricular Esquerdo foi definido como um aumento da fração de ejeção ventricular esquerda associada a uma redução do diâmetro diastólico final do ventrículo esquerdo por ecocardiografia bidimensional. O modelo de riscos proporcionais de Cox foi utilizado para avaliar a habilidade do Remodelamento Reverso Ventricular Esquerdo prever mortalidade por todas as causas; [Artigo 2] um total de 232 pacientes foram estudados. O clearance de creatinina foi estimado de acordo com a equação CKD-EPI e doença renal crônica foi definida como clearance de creatinina <60 mL/min/1.73m². Anemia foi definida como hemoglobina <12 g/dL para mulheres e <13 g/dL para homens. O modelo

de riscos proporcionais de Cox foi utilizado para estabelecer preditores independentes de mortalidade a longo prazo; [Artigo 3] a cohort de desenvolvimento incluiu 450 pacientes prospectivamente seguidos sob tratamento otimizado para ICC. Fatores prognósticos independentes foram identificados usando análises de regressão logística e os grupos foram estratificados como de baixo, moderado e alto risco. O escore de risco CALLM foi validado em uma coorte retrospectiva independente com 228 indivíduos. **Resultados:** (Todos os Artigos) Remodelamento Reverso Ventricular Esquerdo, Doença Renal Crônica e Anemia não foram associados com mortalidade tardia, hospitalizações, choque cardiogênico, ou indicação para transplante cardíaco. O escore de risco CALLM mostrou boa discriminação e consistente calibração em prever mortalidade em nossa casuística. **Conclusões:** (Todos os Artigos) Remodelamento Reverso Ventricular Esquerdo, Doença Renal Crônica e Anemia não têm impacto nos desfechos de nossos pacientes com CC. O escore de risco CALLM representa um método simples que permite prever sobrevida em população de mundo real em nível ambulatorial de pacientes com ICC em área na qual a Doença de Chagas é endêmica. O modelo providencia acurácia para identificar um subgrupo de pacientes de alto risco que deveria ser manuseado de maneira mais rigorosa.

Palavras-chave: Doença de Chagas; Cardiomiopatia Chagásica; Insuficiência Cardíaca; Prognóstico; Mortalidade.

Abstract

Introduction: Chronic heart failure (CHF) is a leading cause of morbidity and mortality worldwide, representing a major public health issue, with an increasing incidence and prevalence. The likelihood of survival may vary significantly among different etiologies and subsets of patients with CHF. In this context, CHF secondary to Chagas cardiomyopathy (CC) shows a poorer prognosis compared to other etiologies, mainly in Latin American where the disease is endemic. **Objectives:** [Article 1] to determine whether the presence of Left Ventricular Reverse Remodeling (LVRR) could predict long-term mortality in patients with CC; [Article 2] to evaluate the risk prediction performance of the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation and anemia in patients with CHF secondary to CC; [Article 3] to develop and to validate a simple method for predicting long-term mortality in ambulatory CHF patients in an area where Chagas disease is endemic. **Material and Methods:** From January 2000 to December 2010, [Article 1] the medical charts of 159 patients were reviewed. LVRR was defined as an increase of left ventricular ejection fraction and a decrease of left ventricular end-diastolic diameter by 2D-echocardiography. A Cox proportional hazards model was used to evaluate the ability of LVRR to predict all-cause mortality; [Article 2] a total of 232 patients were studied. The creatinine clearance was estimated according to CKD-EPI equation and CKD was defined as $\text{CrCl} < 60 \text{ mL/min/1.73m}^2$. Anemia was defined as hemoglobin $< 12 \text{ g/dL}$ for women and $< 13 \text{ g/dL}$ for men. Cox proportional hazards models were used to establish independent predictors for long-term mortality; [Article 3] The development cohort included 450 patients receiving evidence-based treatment for CHF, prospectively followed. Independent prognostic factors were

identified using logistic regression analysis and thresholds defined to stratify low-, intermediate-, and -high-risk groups. The CALLM Risk Score was validated in an independent retrospective cohort with 228 individuals. **Results:** [All Articles] LVRR, chronic kidney disease (CKD) and anemia were not associated with late-mortality, hospitalizations, cardiogenic shock, or heart transplantation indication. The CALLM risk score showed good discrimination and consistent calibration to predict mortality in our series. **Conclusions:** [All Articles] LVRR, CKD and anemia have no impact on outcomes of patients with CC. The CALLM risk score represents a simple method that allows prediction of survival in a real-world in ambulatory CHF patients in an area where Chagas disease is endemic. The model provides an accurate identification of a subgroup of high-risk patients who should be closely managed.

Key words: Chagas Disease; Chagas Cardiomyopathy; Heart Failure; Prognosis; Mortality.

Introdução:

A Insuficiência Cardíaca Sistólica Crônica (ICC) é causa líder mundial de morbidade e mortalidade, representando atualmente um dos maiores problemas de saúde pública, com incidência e prevalência em constantes elevações (1-3). A probabilidade de sobrevida desta condição pode variar dentre as diferentes etiologias e cenários de pacientes avaliados. A doença de Chagas é a causa mais frequente de ICC por disfunção sistólica do ventrículo esquerdo em áreas endêmicas, e o prognóstico da síndrome é pior nos pacientes chagásicos comparado a não chagásicos (4-9), seja naqueles com ICC leve a moderada ou mesmo naqueles com ICC terminal (9, 10). A ICC pode acometer de 4 a 8% dos indivíduos chagásicos provenientes de zona endêmica para a doença, cerca de 10% dos pacientes de uma amostra hospitalar aleatória de nível secundário e até 76% de pacientes acompanhadas em centros de referência em nível terciário (9).

A doença de Chagas é causada pelo parasita *Trypanosoma cruzi*, transmitido aos seres humanos pelas fezes de insetos hematófagos, da subfamília *Triatominae*, popularmente conhecidos como “barbeiros”, que adquirem o agente ao se alimentar de animais infectados, transmitindo-o através da contaminação da mucosa ou pele após a picada, pelas fezes com tripanossomas (20). Outros mecanismos de transmissão são transfusão sanguínea (21, 22), transplante de órgãos (23, 24), acidentes de laboratório, transmissão oral através de comida contaminada (24, 25) e verticalmente de mãe para filho (24).

Estima-se que 6 a 7 milhões de pessoas estejam infectadas pelo parasita na América Latina, e cerca de 70 milhões estejam sob o risco de infecção, sendo, por isso,

um sério problema econômico e de saúde pública, principalmente nos países da América Central e do Sul, particularmente no Brasil e Argentina (26). Contudo, com a emigração de pacientes com a doença para áreas não endêmicas, hoje pode-se encontrar a doença na América do Norte, Europa, Ásia e Oceania (27, 28).

Após a picada do inseto e a inoculação dos tripanosomas, o protozoário se multiplica, e em 10% dos casos ocorre uma doença aguda, fatal em 10% dos pacientes (29). A doença, então, entra em fase de latência e, em média, 20 anos após a infestação inicial, aproximadamente 30% dos indivíduos infectados desenvolverão sintomas da doença de Chagas crônica, que apresenta um amplo espectro de manifestação, desde anormalidades ao eletrocardiograma convencional até a doença cardíaca avançada, caracterizada por cardiomegalia, insuficiência cardíaca congestiva, arritmias, distúrbios de condução, fenômenos tromboembólicos, dor torácica atípica e morte súbita (30).

A doença isquêmica do coração é a principal causa de ICC no mundo ocidental (10), caracterizando-se pela presença de extensa doença arterial coronária proximal e múltiplas anormalidades do movimento segmentar da parede (11) ou hipocinesia difusa do ventrículo esquerdo, provocando redução importante na fração de ejeção do ventrículo esquerdo. A sobrevida dos pacientes com essa condição aparenta ser pior que o observado naqueles sem cardiomiopatia isquêmica, excluindo os pacientes com doença de Chagas (12, 13).

Embora constatemos escassez de dados na literatura sobre concomitância entre hipertensão arterial sistêmica e Doença Chagásica crônica, alguns estudos apontaram semelhantes taxas de hipertensão arterial em pacientes chagásicos quando comparados à população geral (14, 15). Assim, demonstraram taxas variando de 26% a 33% de

hipertensos dentre os pacientes portadores de Doença de Chagas crônica, com cerca de 8% deles apresentando ICC (14).

A cardiomiopatia dilatada idiopática é incidente em cerca de 17,9/100000 habitantes na população geral (16). Recebe esta denominação quando a específica etiologia da cardiomiopatia dilatada não pode ser identificada, podendo atingir até 50% dos casos em algumas populações (17). Mundialmente, é apontada como a terceira maior causa de ICC (18), com uma taxa de mortalidade anual em torno de 69% nas coortes de referência terciária (19).

A fisiopatologia da disfunção sistólica observada na Cardiomiopatia Chagásica (CC) é semelhante à detectada na cardiomiopatia de etiologia não chagásica. O aspecto macroscópico da ICC na doença de Chagas é caracterizado por dilatação de câmaras, trombose mural e aneurisma apical ventricular esquerdo, na ausência de coronariopatia obstrutiva. Histologicamente, material de necrópsia e de corações transplantados revelam focos extensos de fibrose repadora, associados a inflamação miocárdica crônica, entremeados com áreas de miocárdio normal, e o *T. cruzi* é raramente visto no miocárdio nessa fase. Como resultado do processo de remodelamento, uma disfunção sistólica irreversível leva à ativação neuro-hormonal e níveis elevados de atividade da renina plasmática e de noradrenalina, semelhantes aos encontrados nos pacientes com ICC não chagásicos, resultando em aumento da toxicidade miocárdica e consequente remodelamento ventricular, assim como observado em modelos animais de cardiomiopatia catecolaminérgica (9).

O remodelamento reverso ventricular esquerdo é caracterizado pela redução das dimensões, normalização da anatomia e melhoria da função sistólica ventricular esquerda

(31). Uma favorável resposta à terapêutica atualmente disponível, incluindo inibidores da enzima conversora de angiotensina, betabloqueadores e antagonistas da aldosterona tem sido relatadas, inclusive com completa reversão do remodelamento ventricular (32-34). Embora a Doença de Chagas tenha sido extensivamente estudada nos últimos 20 anos, um número limitado de estudos (35, 36) avaliou quantitativamente a influência do remodelamento ventricular esquerdo na mortalidade destes indivíduos.

A doença renal crônica tem sido considerada como uma das maiores questões de saúde pública em todo o mundo, com altas prevalências e grande impacto econômico nas diversas sociedades (37). Além disso, tem sido associada com aumento significativo do risco de acometimentos cardiovasculares, até mesmo em estágios precoces da doença (38). A taxa de filtração glomerular é o melhor índice para se avaliar a função renal, inclusive para diagnóstico, avaliação e manejo da doença renal crônica (39). Em meados de 2009, uma nova equação para se estimar a taxa de filtração glomerular foi proposta pelo grupo Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) (40), internamente e externamente validada, providenciando estimativas mais acuradas e melhores predições de risco que as pré-existentes (41). Além disso, a anemia, uma condição comum entre indivíduos com ICC, também tem sido também descrita por sua associação com piores desfechos clínicos (42, 43). Quando associada à doença renal crônica, mostrou-se em algumas populações como preditor independente de mortalidade (44), embora tal fato não tenha sido confirmada em outros estudos (45-47).

Em um cenário de prática clínica onde os índices prognósticos utilizados para pacientes com ICC mostram-se limitados, baseando-se muitas vezes em variáveis extremamente específicas e até mesmo em medidas invasivas e de difícil verificação (48-

50), ou mesmo pela ausência de similaridade dentre as populações estudadas (51-53), que raramente contemplam pacientes chagásicos em seu desenvolvimento e validação, dificultando desta maneira a ampliação destas ferramentas na prática clínica local, constamos a necessidade de avaliar rigorosamente a nossa casuística ambulatorial, visando estabelecer critérios prognósticos que pudessem auxiliar na predição de risco dos indivíduos portadores de ICC em área endêmica para a Doença de Chagas.

Objetivos

Os objetivos dos nossos estudos foram:

1. Determinar se a presença de Remodelamento Reverso Ventricular Esquerdo poderia prever mortalidade a longo prazo em pacientes com Cardiomiopatia Chagásica Crônica.
2. Avaliar a performance de predição de risco da equação Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) e de anemia em pacientes com Insuficiência Cardíaca Sistólica Crônica secundária a Cardiomiopatia Chagásica.
3. Desenvolver e validar um método simples para prever mortalidade a longo prazo em nível ambulatorial de pacientes com Insuficiência Cardíaca Crônica em área endêmica para Doença de Chagas.

Artigos Científicos

Artigo 1: Impact of Left Ventricular Reverse Remodeling on Outcome of Patients with Chagas Cardiomyopathy with Chronic Heart Failure.

Autores: Marcelo Arruda Nakazone, Ana Paula Otaviano, Maurício Nassau Machado, Reinaldo Bulgarelli Bestetti.

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Artigo 2: Prognostic Significance of Chronic Kidney Disease (CKD-EPI equation) and Anemia in Patients with Chronic Heart Failure Secondary to Chagas Cardiomyopathy

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Artigo 3: The CALLM Risk Score: a Tool to Predict Long-Term Mortality in Heart Failure Patients in an Endemic Area for Chagas Disease

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Artigo 1: Impact of Left Ventricular Reverse Remodling on Outcome of Patients with Chagas Cardiomyopathy with Chronic Heart Failure.

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Abstract

Background: The impact of left ventricular reverse remodeling (LVRR) on the prognosis of Chagas Cardiomyopathy (CC) is unknown. We aimed to determine whether the presence of LVRR could predict long-term mortality in patients with this condition. **Methods:** From January 2000 to December 2010, the medical charts of 159 patients were reviewed. LVRR was defined as an increase of left ventricular ejection fraction (LVEF) and a decrease of left ventricular end-diastolic diameter (LVDD) by 2D-echocardiography. No patient underwent cardiac resynchronization therapy or mechanical ventricular assistance. A Cox proportional hazards model was used to evaluate the ability of LVRR to predict all-cause mortality. **Results:** At baseline, median (25th – 75th) LVDD was 64mm (59 – 70), and median LVEF was 33.2% (26.4 – 40.1). LVRR was detected in 24.5% of patients in a 40-month median follow-up (26 – 64). In the LVRR group, LVDD decreased from 64mm (59 – 68) to 60mm (56 – 65, $P < 0.001$), and LVEF increased from 31.3% (24.1 – 39.0) to 42.5% (32.2 – 47.7; $P < 0.001$). However, LVRR was not associated with heart failure hospitalization, cardiogenic shock, need to heart transplantation, or long-term mortality ($P > 0.05$ for all comparisons). Cox proportional hazard model analysis identified cardiogenic shock (HR=2.41, 95%CI 1.51-3.85; $P < 0.001$) and serum sodium level (HR=0.91, 95%CI 0.86-0.96; $P < 0.001$) as independent predictors of all-cause mortality. **Conclusions:** LVRR occurs in one quarter of patients with CC, and have no impact on outcome of patients with this condition.

Keywords	Left Ventricular Reverse Remodeling; Chagas Cardiomyopathy; Heart Failure; Prognosis; Mortality.
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There are no linked research data sets for this submission. The following reason is given:
Data will be made available on request

August, 10th, 2017.

Prof. Dr. Paolo G. Camici, MD, FESC, FACC, FAHA, FRCP
Editor-in-Chief of
International Journal of Cardiology

Dear Prof. Dr. Camici,

We are sending a manuscript entitled "Impact of left ventricular reverse remodeling on outcome of patients with Chagas Cardiomyopathy with chronic heart failure" for evaluation of publication in *International Journal of Cardiology*.

In the 21st century, Chagas disease still is a major health problem in Latin America, where about 6 million people are carriers of the disease, and about 10,000 people die of the disease annually. Chronic heart failure secondary to Chagas Cardiomyopathy has a poorer prognosis than that observed in other etiologies.

Left ventricular reverse remodeling (LVRR) is characterized by a decrease in left ventricular dimension, normalization of left ventricular shape and improvement of systolic function. A favorable response to adequate drug therapies has been described, with almost complete reversal of left ventricular dysfunction. Although Chagas' heart disease has been extensive and intensively studied in the past 20 years, a limited number of studies have assessed cardiac remodeling quantitatively in long-term follow-up in this setting.

In this paper, we found LVRR in about a quarter of patients, which have been followed for more than ten years. As far as we know, this is the first study to show no difference in the long-term mortality of Chagas Cardiomyopathy patients with and in those without LVRR, thus suggesting that the higher mortality associated with Chagas disease seems to dissipate the potential benefit of this condition.

Therefore, the relevance of these results prompts me to submit the paper to *International Journal of Cardiology*.

Thank you in advance for your attention.

Sincerely yours,

Reinaldo B. Bestetti, MD, PhD, FESC

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1 *Title Page*

2 **Impact of left ventricular reverse remodeling on outcome of patients**
3 **with Chagas cardiomyopathy with chronic heart failure ☆**

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12 ☆The authors report no relationship that could be construed as a conflict of interest.

13 This author takes responsibility for all aspects of the reliability and freedom from bias of
14 the data presented and their discussed interpretation.

15

16 **Short title:** Left Ventricular Reverse Remodeling in Chagas Cardiomyopathy

17 **Key words:** Left Ventricular Reverse Remodeling; Chagas Cardiomyopathy; Heart
18 Failure; Prognosis; Mortality.

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24 Abstract

25 **Background:** The impact of left ventricular reverse remodeling (LVRR) on the prognosis
26 of Chagas Cardiomyopathy (CC) is unknown. We aimed to determine whether the
27 presence of LVRR could predict long-term mortality in patients with this condition.

28 **Methods:** From January 2000 to December 2010, the medical charts of 159 patients were
29 reviewed. LVRR was defined as an increase of left ventricular ejection fraction (LVEF)
30 and a decrease of left ventricular end-diastolic diameter (LVDD) by 2D-
31 echocardiography. No patient underwent cardiac resynchronization therapy or
32 mechanical ventricular assistance. A Cox proportional hazards model was used to
33 evaluate the ability of LVRR to predict all-cause mortality.

34 **Results:** At baseline, median (25th–75th) LVDD was 64mm (59–70), and median LVEF
35 was 33.2% (26.4–40.1). LVRR was detected in 24.5% of patients in a 40-month median
36 follow-up (26–64). In the LVRR group, LVDD decreased from 64mm (59–68) to 60mm
37 (56–65; $P < 0.001$), and LVEF increased from 31.3% (24.1–39.0) to 42.5% (32.2–
38 47.7; $P < 0.001$). However, LVRR was not associated with heart failure hospitalization,
39 cardiogenic shock, need to heart transplantation, or long-term mortality ($P > 0.05$ for all
40 comparisons). Cox proportional hazard model analysis identified cardiogenic shock
41 (HR=2.41, 95%CI 1.51-3.85; $P < 0.001$) and serum sodium level (HR=0.91, 95%CI 0.86-
42 0.96; $P < 0.001$) as independent predictors of all-cause mortality.

43 **Conclusions:** LVRR occurs in one quarter of patients with CC, and have no impact on
44 outcome of patients with this condition.

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49 **1. Introduction**

50 In the 21st century, Chagas disease still is a major health problem in Latin
51 America, where about 10 million people are carriers of the disease, and about 10,000
52 people die of the disease each year (1). In view of international immigration, Chagas
53 disease has spread throughout the world, and the global costs associated with this disease
54 are about US 7.2 billion annually, higher than that observed in several types of cancer (2).

55 The disease is caused by *Trypanosoma cruzi*, a protozoan transmitted to humans
56 through the feces of a sucking bug. Infection usually occurs in infancy. Approximately
57 two decades after infection, about 30% of infected patients develop chronic
58 cardiomyopathy and severe complications, as precordial chest pain (3), conduction
59 disturbances, ventricular dysrhythmias (4), cardiac thrombosis (5), thromboembolism (6),
60 chronic systolic heart failure (7), and sudden cardiac death (8).

61 Chronic heart failure (CHF) secondary to Chagas cardiomyopathy (CC) has a poor
62 prognosis compared to patients with ischemic cardiomyopathy (9), hypertensive
63 cardiomyopathy (10), or idiopathic dilated cardiomyopathy (11, 12). The
64 histopathological findings in the chronic stage of CC are focal myocarditis that leads to
65 myocyte loss, structural remodeling with intense fibrosis, geometric changes, and
66 ventricular dysfunction (13).

67 Left ventricular reverse remodeling (LVRR) is characterized by a decrease of left
68 ventricular dimensions, normalization of left ventricular shape and improvement of
69 systolic function (14). A favorable response to drug therapy with angiotensin converting
70 enzyme inhibitors, beta-blockers and aldosterone antagonists has been reported, with
71 almost complete reversal of left ventricular dysfunction (15-17). Although Chagas' heart
72 disease has been extensive and intensively studied in the past 20 years, a limited number

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73 of studies has assessed cardiac remodeling quantitatively in long-term follow-up in this
74 setting (18, 19). Male gender and systemic blood pressure seem to be independent
75 predictors of cardiac remodeling (20).

76 The ability of the treatment of heart failure to decrease left chamber size and to
77 improve left ventricular ejection fraction (LVEF) can identify patients with CC with a
78 modifiable condition and a better long-term prognosis. Accordingly, the aim of this study
79 was to determine whether LVRR could predict all-cause mortality in patients with CC in
80 the long-term follow up.

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82 2. Methods

83 2.1 Patients selection

84 This single-center study retrospectively evaluated the medical charts of patients
85 with two positive serologic tests for Chagas disease (ELISA and indirect
86 immunofluorescence) according to the World Health Organization recommendation (21).
87 The clinical diagnosis of heart failure was made by the attending physicians based on
88 Framingham Criteria for the diagnosis of CHF (22). After the clinical diagnosis of CHF,
89 a 2-D echocardiography was used for each patient to confirm the clinical diagnosis,
90 quantify this condition using LVEF, and guide treatment. Individuals with the clinical
91 diagnosis of CHF secondary to CC and LVEF < 55% on first 2-D echocardiography
92 confirming left ventricular systolic dysfunction were initially screened for this study.
93 Patients with a concomitant disease that could potentially cause heart disease by itself
94 were excluded.

95 This study was conducted in accordance with the Declaration of Helsinki and
96 approved through the local Human Research Ethics Committee of São José do Rio Preto
97 Medical School (CAAE - 02716112.6.0000.5415). The need for individual informed

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98 consent was waived, as this study was a retrospective analysis of prospectively collected
99 data for routine care, and breach of privacy or anonymity did not occur.

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101 **2.2 Baseline measurements and 2D-Echocardiographics conditions**

102 The demographics data, New York Heart Association (NYHA) functional class,
103 heart rate, systemic arterial pressure, medical history, standard laboratory tests, 12-lead
104 resting electrocardiogram and cardiac electronic implantable devices information were
105 obtained at study entry, and retrieved from the records of the medical charts.

106 Local specialists in 2D-Echocardiography did the echocardiographic examination
107 with patients in left lateral position. Standard parasternal, apical and subcostal views were
108 obtained. Routinely, physicians did placing the transducer as far laterally and caudally as
109 possible in the apical windows to maximize left ventricular cavity size and avoid
110 foreshortening during measures. LVEF was measured by Simpson's method in the apical
111 4-chamber view, which was used for the main analyses, as well as the apical 2-chamber
112 view when possible. Wall motion abnormalities analyses, left ventricular end-systolic
113 diameter, left ventricular end-diastolic diameter (LVDD), and right ventricular dimension
114 were measured according to the American Society of Echocardiography
115 recommendations (23).

116 LVRR was defined by the simultaneous presence of the following conditions: a)
117 occurrence of an increase of LVEF concomitant with a decrease in LVDD; b) this
118 improvement occurred in the absence of cardiac resynchronization therapy or mechanical
119 ventricular assistance, as previously described (14).

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123 **2.3 Prospective follow-up**

124 The patients were routinely followed at the cardiomyopathy outpatient service in
125 a Brazilian Medical School facility from January, 2000 to December, 2010. The heart
126 failure medical therapy information was retrieved from a prospectively collected database
127 of patients. All patients received evidence-based treatment for CHF, according to
128 international guidelines of the time. Thus, treatment with angiotensin converting enzyme
129 inhibitors or angiotensin receptor blocks and beta-blockers at targeted or maximal
130 tolerated doses was considered for all patients. Those with pitting edema received
131 furosemide, while those in the NYHA Class III/IV with a LVEF < 30% were treated with
132 digoxin. Patients usually visited the outpatient service each four months, and a senior
133 heart failure specialist supervised the treatment given. Patients were followed until the
134 close study; they were also censored at heart transplantation or death.

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136 **2.4 Data Analysis**

137 The data were analyzed using the IBM SPSS Statistical Package v.21 (IBM
138 Corporation, Armonk, NY). The variables are presented as absolute numbers and
139 percentages and median and interquartile ranges (25th and 75th percentile) when
140 applicable. Due to the lack of Gaussian distribution, continuous variables were compared
141 using the nonparametric Mann-Whitney test. Chi-square or Fisher's exact tests were used
142 to compare categorical variables.

143 A Cox proportional hazards model was used to evaluate the ability of LVRR to
144 independently predict all-cause mortality during a long-term follow-up. In the
145 multivariable model, variables with a *P* value < 0.10 in the univariate model and those
146 with known prognostic significance were entered a backward stepwise approach to
147 establish independent predictors of death. The Spearman test was used to stablish

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148 correlation between continuous variables. The variable who correlated with others and
149 with the highest Wald coefficient remained in the model, whereas the other were ruled
150 out. Thus, each variable entered the multivariable model in a proportional at least to 10
151 events in an attempt to avoid overfitting. The adjusted Odds Ratio (OR) and 95%
152 confidence intervals (95% CI) were calculated for the predictors.

153 Cumulative survival graphics (Kaplan-Meier) were constructed to demonstrate
154 differences in event-free survival (mortality from all-causes). *P* values < 0.05 were
155 considered statistically significant (two-tailed).

157 3. Results

158 Two hundred thirty-four patients were screened for potentially taking part in this
159 investigation. However, a total of 75 individuals (32%) were excluded because they did
160 not undergo another comparative 2D-echocardiography during the follow-up. In this
161 context, the study evaluated 159 patients (64.2% male) who had a median age of 57 years
162 (47 - 66), and were followed over a period more than 10 years. The baseline
163 characteristics of the patients are shown in Table 1. These individuals were divided into
164 two groups: with and without LVRR by echocardiographic evaluations. A similarity (*P* >
165 0.05) for all variables was observed in our series.

166 Our population received maximal tolerated daily doses of medications,
167 considering samples from drugs classes with known prognostic impact in ventricular
168 remodeling. LVRR group received mean daily dose (mg/day) of Enalapril (15.0 ± 5.8),
169 Captopril (106.3 ± 49.6), Losartan (44.2 ± 11.0), Carvedilol (27.6 ± 21.1), Metoprolol
170 Succinate (116.7 ± 58.7), Spironolactone (33.3 ± 24.3) and non-LVRR group received
171 mean daily dose of Enalapril (14.3 ± 8.7 ; *P* = 0.357), Captopril (75.8 ± 38.0 ; *P* = 0.120),
172 Losartan (50.0 ± 24.2 ; *P* = 0.789), Carvedilol (26.3 ± 17.9 ; *P* = 0.860), Metoprolol

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173 Succinate (128.1 ± 63.6 ; $P = 0.585$), Spironolactone (27.5 ± 12.4 ; $P = 0.346$), showing
174 no difference between groups for optimized therapeutic, according to guideline
175 recommendations during the long-term of follow-up.

176 Thirty-nine patients (24.5%) with CC presented LVRR during their follow-up.
177 Comparing the first and the last 2D-echocardiography, this group showed a median of
178 3.0mm (1 to 6 mm) for absolute reduction of LVDD, representing a median of 5.1% (1.7
179 to 10%) of reduction. For this group, we also detected a median of absolute improvement
180 for LVEF of 7.0% (4.0 to 11.6%), representing around 23.6% (12.7 to 39.7%) of
181 improvement. There was significant difference between this group and the group of
182 individuals with LVRR ($P < 0.001$) for all previous measures. Right ventricle diameter
183 and wall motion abnormality did not differ between groups (Table 2).

184 Standard laboratory tests, 12-lead resting electrocardiographic findings and using
185 cardiac electronic implantable devices observed at study entry were not associated with
186 LVRR occurrence. Moreover, patients with LVRR showed no difference for
187 hospitalization due to acute decompensated heart failure (59.0%), cardiogenic shock
188 (17.9%), and need to heart transplantation (10.3%) compared to patients without LVRR
189 (65.8%, $P = 0.438$; 29.2%, $P = 0.167$; and 8.3%, $P = 0.747$; respectively).

190 Cox proportional hazards model showed similar situation for late-mortality (over
191 period more than 10 years) between individuals without LVRR (54.2%) compared to
192 individuals with LVRR (46.2%, $P = 0.384$). After adjustment, six variables were used in
193 the multivariate model: age (years), gender (male), cardiogenic shock, left anterior
194 fascicular block, serum sodium level, and LVRR. Only two variables were retained as
195 independent predictors of long-term mortality: cardiogenic shock (HR = 2.41, 95% CI
196 1.51 to 3.85; $P < 0.001$) and serum sodium level (HR = 0.91, 95% CI 0.86 to 0.96; $P <$
197 0.001; Table 3).

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198 Kaplan-Meier survival analysis of the patients with and without LVRR during
199 follow-up is shown in Figure 1. No difference between both groups was observed
200 regarding survival.

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202 4. Discussion

203 In this study, we evaluated the LVRR in CC as a predictor of long-term mortality.
204 To the best of our knowledge, this is the first study of a cohort of patients with CHF
205 secondary to CC evaluating the role of LVRR on outcome in a more than 10-year follow
206 up. Our study shows no survival improvement in despite of LVRR, thus confirming the
207 dismal prognosis and the severity of CHF secondary to CC.

208 Cardiac reverse remodeling with medical treatment of CHF is well established,
209 with demonstrable decreases in left ventricular diameter and improvement in left
210 ventricular function (24-29). It should be noted that, although the volumetric
211 measurements seem to provide the most powerful data, LVEF measurements are simpler
212 to obtain and are indeed a marker of the remodeling process. As left ventricular volume
213 increases, there is a tendency for a concomitant and usually parallel decrease in LVEF,
214 which can be used, itself, as a marker of the remodeling process (30). Interestingly,
215 similar to the results provided by Ramasubbu et al. (31) using the echocardiography
216 database from the ESCAPE trial (32), our study demonstrated that changes in these
217 parameters are not associated with improvement outcome (long-term mortality) in
218 patients with CC as well.

219 Only two previous study including patients with CC aiming at assessing clinical
220 predictors for long-term cardiac remodeling was previous performed in similar cohort. In
221 both studies (18, 20), in contrast to our results, no significant reduction for LVDD was
222 observed during the follow-up. It is possible that the optimized clinical treatment

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223 provided to patients in our study, including targeted or maximal tolerated doses of
224 angiotensin converting enzyme inhibitors and spironolactone associated to beta-blockers,
225 can account for these discrepant results. Moreover, our findings are similar to those
226 observed in other populations (33, 34).

227 The therapeutic agents, mainly angiotensin converting enzyme inhibitors and
228 beta-blockers, modify the remodeling process and frequently add other clinically relevant
229 benefits in reducing morbidity and mortality in cardiomyopathy patients (35). Several
230 clinical trials using a variety of beta-blockers have demonstrated improvements in
231 symptoms, ventricular function, functional capacity, and survival in patients with CHF
232 due to ischemic and dilated cardiomyopathies (36-38). Some studies with beta-blockers
233 that included patients with CC showed similar benefits (39-43).

234 Experimentally, a recent study designed to evaluate the role of carvedilol in the
235 context of Chagas' disease concluded that the drug did not attenuate cardiac remodeling
236 or mortality in a model of CC (13). This contrasts with other experimental study in which
237 metoprolol was capable to revert electrocardiographic abnormalities in a rat model of
238 Chagas disease probably because the reversal of catecholamine toxicity in this model (44,
239 45). In fact, parasympathetic derangement is believed, along with microvascular
240 dysfunction and autoimmunity, to play a central role in the pathogenesis of chronic
241 Chagas heart disease (46). Thus, in our study, the optimized pharmacological treatment
242 confirmed its association with LVRR, considering reduction of LVDD and improvement
243 of LVEF, although it has not been positively impacted on survival.

244 Inotropic support and serum sodium level were independent predictors for
245 mortality in our investigation. These findings probably reflect the severity of our study
246 population in which about a quarter of individuals showed cardiogenic shock during
247 follow-up. Therefore, this may account, at least in part, for the ability of inotropic support

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248 to predict hyponatremia in patients with CC and, consequently, ventricular remodeling
249 (47, 48).

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251 **4.1 Limitations**

252 There are several limitations to our study. This study is a retrospective analysis of
253 prospectively collected single-center data and thus carries the inherent disadvantages of
254 retrospective studies. All echocardiographic parameters were not available in all patients,
255 and therefore only parameters that had paired measurements (at baseline and follow-up)
256 were used for the analysis, resulting in a smaller sample size. Finally, intra- and
257 interobserver variability for the echocardiography lab was not mentioned. Therefore, it
258 was difficult to determine whether the mean changes in parameters fell within the
259 measurement variability or reflected true changes. Additionally, our multivariate analysis
260 included only those factors available in our database. Some factors that have an effect on
261 prognosis might not have been examined. Thus, our results may not be applicable to other
262 specific patient cohorts without further study into the various subgroups.

263

264 **5. Conclusions**

265 Our study shows that LVRR does not predict a reduction in the long-term
266 mortality in patients with CC. This is the first study to show that the severity of disease
267 progression seems to dissipate the potential benefit of LVRR in patients with CC. Further
268 research, however, with larger sample sizes, should be conducted to confirm these
269 findings.

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1013	422	Figure 1. Kaplan-Meier survival analysis of patients with and without left ventricular
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1015	423	reverse remodeling considering reduction of left ventricle end-diastolic diameter and
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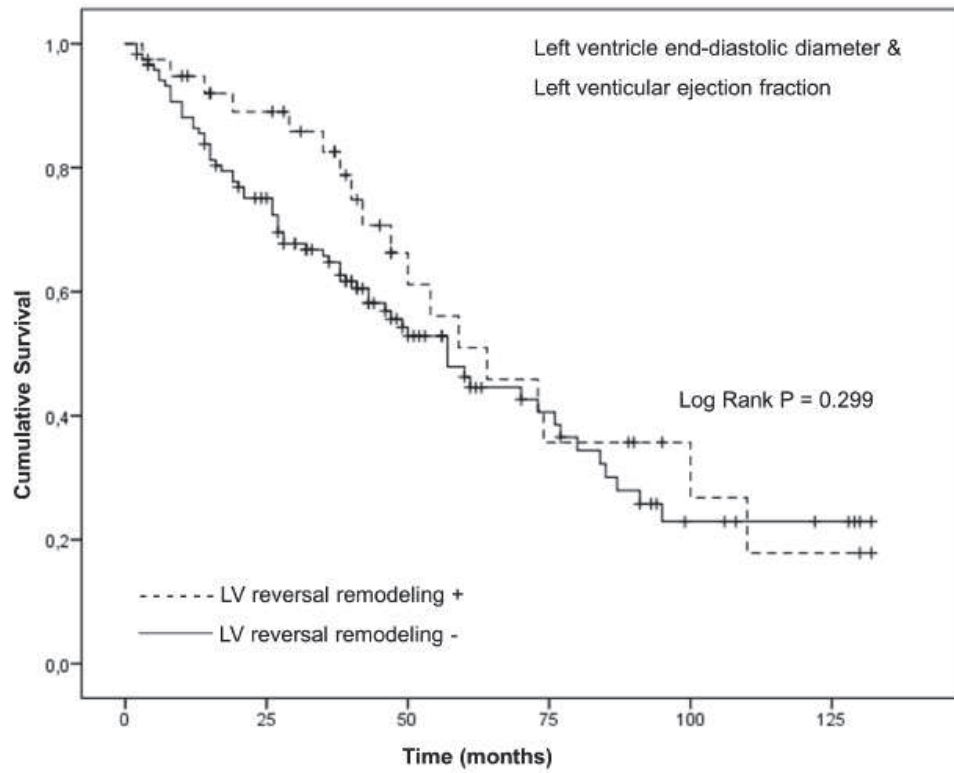


Table 1. Baseline characteristics of 159 patients analyzed for occurrence of Left Ventricular Reverse Remodeling.

Baseline characteristics	All patients (159)	LVR+ (39)	LVR- (120)	P Value
	Median (25 th - 75 th) or N (%)			
Variable				
Age (years)	57 (47 - 66)	58 (52 - 67)	56 (46 - 65)	0.159
Gender (male)	102 (64.2)	23 (59.0)	79 (65.8)	0.436
NYHA Classes I and II	118 (74.2)	33 (84.6)	85 (70.8)	0.067
NYHA Classes III and IV	41 (25.8)	6 (15.4)	35 (29.2)	0.067
Heart rate (beats/min)	68 (60 - 78)	68 (60 - 80)	66 (60 - 76)	0.681
SBP (mmHg)	110 (100 - 120)	110 (100 - 120)	110 (100 - 120)	0.687
DBP (mmHg)	70 (60 - 80)	70 (70 - 80)	70 (60 - 80)	0.136
Diabetes Mellitus	4 (2.5)	2 (5.1)	2 (1.7)	0.252
Laboratory analysis				
Hemoglobin (g/dL)	13.2 (12.0 - 14.0)	13.8 (12.0 - 14.1)	13.2 (12.0 - 14.0)	0.677
Sodium (mg/dL)	141 (138 - 144)	141 (137 - 144)	141 (138 - 144)	0.794
Potassium (mg/dL)	4.4 (4.1 - 4.8)	4.4 (3.9 - 4.8)	4.4 (4.1 - 4.6)	0.869
Creatinine (mg/dL)	1.2 (1.0 - 1.4)	1.1 (1.0 - 1.3)	1.2 (1.0 - 1.4)	0.157
CKD-EPI (mL/min/1.73m ²)	63.6 (51.1 - 78.6)	65.3 (52.2 - 78.6)	63.3 (50.6 - 79.2)	0.656
Electrocardiography				
Atrial fibrillation	41 (25.8)	12 (30.8)	29 (24.2)	0.413
ICD	23 (14.5)	6 (15.4)	17 (14.2)	0.661
Pacemaker	84 (52.8)	18 (46.2)	66 (55.0)	0.336
LBBB	21 (13.2)	3 (7.7)	18 (15.0)	0.242
RBBB	63 (39.6)	16 (41.0)	47 (39.2)	0.837
LAFB	59 (37.1)	15 (38.5)	44 (36.7)	0.840
Low voltage of QRS	9 (5.7)	1 (2.6)	8 (6.7)	0.455
VPC	71 (44.7)	19 (48.7)	52 (43.3)	0.557

LVR=Left ventricular reverse remodeling; N=number of individuals; NYHA=New York Heart Association functional class; SBP=Systolic blood pressure; DBP=Diastolic blood pressure; CKD-EPI=estimated glomerular filtration rate according Chronic Kidney Disease Epidemiology Collaboration; ICD=Implantable cardioverter defibrillator; LBBB=Left bundle branch block; RBBB=Right bundle branch block; LAFB=Left anterior fascicular block; VPC=Ventricular premature contraction.

Table 2. Comparison between first and last 2D-echocardiography during follow-up.

Baseline characteristics	All patients (159)	LVRR+ (55)	LVRR- (104)	P Value
First 2D-ECHO				
LVDD (mm)	64 (59 - 70)	64 (59 - 68)	64 (59 - 71)	0.605
LVSD (mm)	54 (49 - 60)	56 (50 - 60)	54 (48 - 60)	0.440
RVD (mm)	23 (19 - 28)	24 (20 - 29)	23 (18 - 28)	0.272
WMA	54 (34.0)	12 (30.8)	42 (35.0)	0.628
LVEF (%)	33.2 (26.4 - 40.1)	31.3 (24.1 - 39.0)	33.5 (27.0 - 40.8)	0.223
Last 2D-ECHO				
LVDD (mm)	65 (60 - 72)	60 (56 - 65)	67 (62 - 74)	<0.001
LVSD (mm)	56 (49 - 63)	49 (42 - 55)	58 (52 - 64)	<0.001
RVD (mm)	25 (20 - 33)	27 (22 - 35)	25 (19 - 32)	0.485
WMA	50 (31.4)	11 (28.2)	39 (32.5)	0.616
LVEF (%)	31.7 (24.8 - 41.8)	42.2 (32.2 - 47.7)	30.0 (22.7 - 38.7)	< 0.001
Comparison LVDD				
Absolute difference (mm)	1.0 (-1.0 to 4.0)	-3.0 (-6.0 to -1.0)	2.0 (0.0 to 5.0)	<0.001
Relative difference (%)	1.4 (-1.8 to 6.0)	-5.1 (-10.0 to -1.7)	3.2 (0.0 to 6.1)	<0.001
Comparison LVEF				
Absolute difference (mm)	0 (-7.5 to 6.4)	7.0 (4.0 to 11.8)	-3.1 (-10.8 to 3.2)	<0.001
Relative difference (mm)	0 (-23.3 to 23.6)	23.6 (12.7 to 39.7)	-6.4 (-28.8 to 12.0)	<0.001

LVRR=Left ventricular reverse remodeling; N=number of individuals; 2D-ECHO=two-dimensional echocardiography; LVDD=Left ventricular end-diastolic diameter; LVSD=Left ventricular systolic diameter; RVD=Right ventricular diameter; WMA=Wall Motion Abnormalities; LVEF=Left ventricular ejection fraction.

Table 3. Cox proportional hazard model for independent predictors of long-term mortality.

	Univariate			Multivariate		
	HR	95%CI	P Value	HR	95%CI	P Value
All patients						
Age (years)	1.00	0.98 – 1.01	0.688			
Gender (male)	1.43	0.89 – 2.30	0.142			
LVR status	0.76	0.45 – 1.28	0.303			
Cardiogenic shock	2.49	1.58 – 3.91	< 0.001	2.41	1.51 – 3.85	< 0.001
Left anterior fascicular block	1.72	1.12 – 2.65	0.014			
Serum sodium level	0.91	0.86 – 0.96	0.001	0.91	0.86 – 0.96	< 0.001

HR=Hazard ratio; CI=Confidence interval; LVR= Left ventricular reverse remodeling.

Author Agreement Form – International Journal of Cardiology

Manuscript Title: Impact of left ventricular reverse remodeling on outcome of patients with Chagas cardiomyopathy with chronic heart failure.

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This statement is to certify that all authors have seen and approved the manuscript being submitted, have contributed significantly to the work, attest to the validity and legitimacy of the data and its interpretation, and agree to its submission to the *International Journal of Cardiology*.

We attest that the article is the Authors' original work, has not received prior publication and is not under consideration for publication elsewhere. We adhere to the statement of ethical publishing as appears in the International of Cardiology (citable as: Shewan LG, Rosano GMC, Henein MY, Coats AJS. A statement on ethical standards in publishing scientific articles in the International Journal of Cardiology family of journals. *Int. J. Cardiol.* 170 (2014) 253-254 DOI:10.1016/j.ijcard.2013.11).

On behalf of all Co-Authors, the corresponding Author shall bear full responsibility for the submission. Any changes to the list of authors, including changes in order, additions or removals will require the submission of a new author agreement form approved and signed by all the original and added submitting authors.

All authors are requested to disclose any actual or potential conflict of interest including any financial, personal or other relationships with other people or organizations within three years of beginning the submitted work that could inappropriately influence, or be perceived to influence, their work. If there are no conflicts of interest, the COI should read: "The authors report no relationships that could be construed as a conflict of interest".

Artigo 2: Prognostic Significance of Chronic Kidney Disease (CKD-EPI equation) and Anemia in Patients with Chronic Heart Failure Secondary to Chagas Cardiomyopathy

Autores: Marcelo Arruda Nakazone, Maurício de Nassau Machado, Ana Paula Otaviano, Ana Maria Silveira Rodrigues, Augusto Cardinalli-Neto, Reinaldo Bulgarelli Bestetti.

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Abstract

Background: Despite advances in knowledge about the impact of chronic kidney disease (CKD) and anemia in chronic heart failure (CHF), few studies have been conducted in Chagas Cardiomyopathy (CC). We aimed to evaluate the risk prediction performance of the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation and anemia in patients with CHF secondary to CC. **Methods:** From January 2000 to December 2010, a total of 232 patients were studied. The creatinine clearance (CrCl) was estimated according to CKD-EPI equation and CKD was defined as CrCl<60 mL/min/1.73m². Anemia was defined as hemoglobin <12 g/dL for women and <13 g/dL for men. Cox proportional hazards models were used to establish independent predictors for long-term mortality. **Results:** At baseline, 98 individuals (42.2%) had criteria for CKD, and 41 (17.7%) had criteria for anemia. During follow-up, 136 patients (58.6%) of our population died. Independently, CKD and anemia were not associated with late-mortality, hospitalizations, cardiogenic shock, or heart transplantation indication. However, when they coexisted, an additional risk was attributed to CHF patients. Cox Proportional Hazard Models analysis identified systolic blood pressure (HR=0.99, 95%CI 0.98-1.00, P=0.015), implantable cardioverter-defibrillator (HR=0.48, 95%CI 0.27-0.85, P=0.012), left anterior fascicular block (HR=1.52, 95%CI 1.08-2.13; P=0.017), left ventricular end-diastolic diameter (HR=1.04, 95%CI 1.02-1.06; P<0.001), and serum sodium levels (HR=0.95, 95% CI 0.92-0.99; P=0.020) as independent predictors for all-cause mortality. **Conclusions:** CKD and anemia are not independent predictors for long-term mortality in patients with CC. In survival analysis, however, probability of survival is poorer in CKD and anemic patients than in those without.

Keywords	Chronic Kidney Disease; Anemia; Chagas Cardiomyopathy; Chronic Heart Failure; Prognosis; Mortality.
Taxonomy	Chagas Disease, Chronic Kidney Disease, Heart Failure, Anemia
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Data will be made available on request

August, 13th, 2017.

Prof. Dr. Paolo G. Camici, MD, FESC, FACC, FAHA, FRCP
Editor-in-Chief of
International Journal of Cardiology

Dear Prof. Dr. Camici,

We are sending a manuscript entitled "Prognostic significance of Chronic Kidney Disease (CKD-EPI equation) and Anemia in patients with Chronic Heart Failure secondary to Chagas Cardiomyopathy" for evaluation of publication in *International Journal of Cardiology*.

Chagas disease has been found throughout the world in view of international immigration. In fact, it has been estimated that 750,000 persons with Chagas disease are living outside South America, and the global costs associated with this disease are about US 7.2 billion each year, higher than that observed in several types of cancer. A few years ago, common comorbidities as chronic kidney disease and anemia have been associated with poorer prognosis when coexist in non-Chagas Cardiomyopathy.

Recently, a new and more accurate estimating equation for glomerular filtration rate was proposed by the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI), internally and externally validated. Unfortunately, however, no data exist regarding the prevalence and the burden of chronic kidney disease according CKD-EPI in patients with Chagas Cardiomyopathy.

In this paper, we have shown that these patients had a similar prevalence of chronic kidney disease and anemia to those with non-Chagas disease. However, the dismal prognosis of Chagas Cardiomyopathy seems decrease the impact of these severe conditions, nulling their independent predictor potential for long-term mortality.

Therefore, the relevance of these results prompts me to submit the paper to *International Journal of Cardiology*.

Thank you in advance for your attention.

Sincerely yours,

Reinaldo B. Bestetti, MD, PhD, FESC

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1 *Title Page*

2 **Prognostic significance of Chronic Kidney Disease (CKD-EPI equation)**
3 **and Anemia in patients with Chronic Heart Failure secondary to**
4 **Chagas Cardiomyopathy**☆

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12 ☆The authors report no relationship that could be construed as a conflict of interest.

13 This author takes responsibility for all aspects of the reliability and freedom from bias of
14 the data presented and their discussed interpretation.

15

16 **Short title:** Chronic Kidney Disease and Anemia in Chagas Cardiomyopathy

17 **Key words:** Chronic Kidney Disease; Anemia; Chagas Cardiomyopathy; Chronic Heart
18 Failure; Prognosis; Mortality.

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23 Abstract

24 **Background:** Despite advances in knowledge about the impact of chronic kidney disease
25 (CKD) and anemia in chronic heart failure (CHF), few studies have been conducted in
26 Chagas Cardiomyopathy (CC). We aimed to evaluate the risk prediction performance of
27 the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation and
28 anemia in patients with CHF secondary to CC.

29 **Methods:** From January 2000 to December 2010, a total of 232 patients were studied.
30 The creatinine clearance (CrCl) was estimated according to CKD-EPI equation and CKD
31 was defined as CrCl <60 mL/min/1.73m². Anemia was defined as hemoglobin <12 g/dL
32 for women and <13 g/dL for men. Cox proportional hazards models were used to establish
33 independent predictors for long-term mortality.

34 **Results:** At baseline, 98 individuals (42.2%) had criteria for CKD, and 41 (17.7%) had
35 criteria for anemia. During follow-up, 136 patients (58.6%) of our population died.
36 Independently, CKD and anemia were not associated with late-mortality, hospitalizations,
37 cardiogenic shock, or heart transplantation indication. However, when they coexisted, an
38 additional risk was attributed to CHF patients. Cox Proportional Hazard Models analysis
39 identified systolic blood pressure (HR=0.99, 95%CI 0.98-1.00; P=0.015), implantable
40 cardioverter-defibrillator (HR=0.48, 95%CI 0.27-0.85; P=0.012), left anterior fascicular
41 block (HR=1.52, 95%CI 1.08-2.13; P=0.017), left ventricular end-diastolic diameter
42 (HR=1.04, 95%CI 1.02-1.06; P<0.001), and serum sodium levels (HR=0.95, 95% CI
43 0.92-0.99; P=0.020) as independent predictors for all-cause mortality.

44 **Conclusions:** CKD and anemia are not independent predictors for long-term mortality in
45 patients with CC. In survival analysis, however, probability of survival is poorer in CKD
46 and anemic patients than in those without.

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49 **1. Introduction**

50 Chronic systolic heart failure (CHF) is an insidious syndrome that results in a
51 varying degrees of functional impairment. Although great advances have been made over
52 the past thirty years in the management of this condition, it still carries an unfavorable
53 outcome, despite the recognitions of predictors of left ventricular remodeling all-cause
54 mortality, and modern CHF therapy (1, 2). CHF secondary to Chagas cardiomyopathy
55 (CC) has a poor prognosis compared to other etiologies (3-6), and unfortunately CC
56 remains the leading cause of CHF in areas where the disease is endemic (7, 8).

57 The chronic kidney disease (CKD) has emerged as a major health concern
58 worldwide with its high prevalence and heavy economic burdens imparted on society (9).
59 CKD is also associated with significantly increased risks of cardiovascular disease
60 morbidity and mortality, even at its earliest stage (10). Glomerular filtration rate (GFR)
61 is the best overall index of kidney function and is widely used in the diagnosis, evaluation
62 and management of CKD (11). In 2009, a new estimating equation for GFR was proposed
63 by the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) (12), internally
64 and externally validated, providing more accurate estimates and better risk predictions
65 (13).

66 Anemia is a common comorbidity in CHF patients and is associated with poorer
67 prognosis (14, 15). Al-Ahmad et al. (16) found that CKD and anemia are independent
68 risk factors for death among patients with CHF enrolled in the Studies of LV Dysfunction
69 (SOLVD) clinical trial, in disagreement to previous studies that did not observe
70 contribution of anemia to the risk of death (17-19). Since CHF commonly causes renal
71 impairment it is possible that severe CHF is a very common cause of progressive renal

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72 failure. To this vicious circle is often added anemia, which can be produced not only by
73 CKD but by CHF as well, thus worsening both conditions (20).

74 In this context, the primary purpose of this study was to evaluate the long-term
75 mortality risk stratification performance of the CKD-EPI equation and of anemia in
76 patients with CC. The secondary purpose was to determine the independent predictors of
77 all-cause mortality in our population during a long-term follow-up (more than 10 years).

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79 2. Methods

80 2.1 Patients selection

81 This single-center study retrospectively evaluated patients with two positive
82 serologic test for Chagas disease (ELISA and indirect immunofluorescence) according to
83 the World Health Organization recommendation (21). The diagnosis of CHF has been
84 made by the attending physicians using the Framingham Criteria for Heart Failure
85 Diagnosis (22). After clinical diagnosis of CHF, a 2-D echocardiography was performed
86 in each patient to confirm the clinical diagnosis, quantify this condition using left
87 ventricular ejection fraction (LVEF), and guide the treatment according to the
88 classification. Individuals with clinical diagnosis for CHF secondary to CC and LVEF <
89 55% on 2-D echocardiography confirming left ventricular systolic dysfunction were
90 screened for this study. Patients with a concomitant disease that could potentially cause
91 heart disease by itself were excluded.

92 This study was conducted in accordance with the Declaration of Helsinki and
93 approved through the local Human Research Ethics Committee of São José do Rio Preto
94 Medical School (CAAE - 02716112.6.0000.5415). The need for individual informed
95 consent was waived, as this study was a retrospective analysis of prospectively collected
96 data for routine care, and breach of privacy or anonymity did not occur.

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98 **2.2 Baseline measurements**

99 The demographics data, New York Heart Association (NYHA) functional class,
100 heart rate, systemic arterial pressure, medical history, standard laboratory tests, 12-lead
101 resting electrocardiogram and cardiac electronic implantable devices information were
102 noted at study entry by attending physicians, and were retrieved from medical charts
103 records.

104 Anemia was defined as hemoglobin < 12 g/dL for women and < 13 g/dL for men
105 (23). The creatinine clearance was estimated according to CKD-EPI equation (12) and
106 CKD was defined as a creatinine clearance < 60 mL/min/1.73m². The original estimating
107 GFR according CKD-EPI equation [eGFR CKD-EPI] is: $eGFR_{CKD-EPI} = 141 \times \min$
108 $(\text{serum creatinine}/k, 1)^\alpha \times \max(\text{serum creatinine}/k, 1)^{-1.209} \times 0.993^{\text{Age}} \times 1.018$ (if
109 female) $\times 1.159$ (if African American), where k is 0.7 for females and 0.9 for males, α is
110 -0.329 for females and -0.411 for males, min indicates the minimum of serum
111 creatinine/k or 1, and max indicates the maximum of serum creatinine/k or 1. Considering
112 that Brazilians form one of the most heterogeneous populations in the world, which is the
113 result of five centuries of interethnic crosses of people from three continents, the specific
114 dissection of ancestry represents serious theoretical difficulties (24), besides questions
115 arising from preferences for self-declarations of ancestry, we considered all patients as
116 Caucasian for eGFR CKD-EPI equation.

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118 **2.3 Prospective follow-up**

119 The patients were routinely followed in a public referral center for CHF in a
120 Brazilian Medical School facility from January, 2000 to December, 2010. The CHF
121 medical therapy information was retrieved from a prospectively collected database of

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122 patients. All patients received evidence-based treatment for CHF, according to
123 international guidelines of the time. Thus, treatment with angiotensin converting enzyme
124 inhibitors or angiotensin receptor blocks and beta-blockers at targeted or maximal
125 tolerated doses was considered for all patients. Those with pitting edema received
126 furosemide, while those in the NYHA Class III/IV with a LVEF < 30% were treated with
127 digoxin. Patients usually visited the outpatient service each four months, and a senior
128 heart failure specialist supervised the treatment given. Patients were followed until the
129 close study; they were also censored at heart transplantation or death.

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131 **2.4 Data Analysis**

132 The data were analyzed using the IBM SPSS Statistical Package v.21 (IBM
133 Corporation, Armonk, NY). The variables are presented as absolute numbers and
134 percentages and median and interquartile ranges (25th and 75th percentile) when
135 applicable. Due to the lack of Gaussian distribution, continuous variables were compared
136 using the nonparametric Mann-Whitney test. Chi-square or Fisher's exact tests were used
137 to compare categorical variables.

138 Univariate and multivariable Cox proportional hazards models (stepwise
139 backward elimination method) were used to determine independent predictors for all-
140 cause mortality during a long-term follow-up. After univariate analysis, variables with
141 clinical relevance and $P < 0.10$ were included in the multivariate model. Continuous
142 variables underwent the Spearman test to establish correlation among them. The variable
143 who correlated with others and with the highest Wald coefficient remained in the model,
144 whereas the other were ruled out. Thus, each variable entered the multivariable model in
145 a proportional to 10 events in an attempt to avoid overfitting. The multivariate model was
146 then adjusted for age, gender, NYHA functional class, heart rate (beats/minute), systolic

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147 and diastolic blood pressures (mmHg), need for implantable cardioverter-defibrillator
148 (ICD), left anterior fascicular block on 12-lead resting electrocardiography, left
149 ventricular end-diastolic diameter (mm), serum sodium level (mEq/L), anemia status and
150 CKD according eGFR CKD-EPI ($< 60 \text{ mL/min/1.73m}^2$). The adjusted Hazard Ratio (HR)
151 and 95% confidence intervals (95% CI) were calculated for the predictors. Cumulative
152 survival graphic (Kaplan-Meier) was constructed to show differences in event-free
153 survival (mortality from all causes) between patients with CHF secondary to CC
154 according to the presence of CKD and anemia associated to NYHA functional classes. P-
155 values < 0.05 were considered statistically significant (two-tailed).

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157 3. Results

158 A total of 234 patients were initially screened for the study. Two patients with no
159 hemoglobin measurement were ruled out of the investigation. Thus, 232 individuals
160 (65.9% male) who had a median age of 56 years (45 - 66) and fulfilled inclusion criteria
161 were entered the study. The baseline characteristics of patients are shown in Table 1.

162 These individuals were divided into groups: with or without CKD according
163 eGFR CKD-EPI and with or without anemia according hemoglobin serum levels. Ninety-
164 eight patients (42.2%) had renal dysfunction, whereas 41 (17.7%) had anemia. Patients
165 with CKD status were older (median for age = 63 years), had higher right ventricular
166 diameters (median = 27mm), showed lower spontaneous heart rate (median = 66
167 beats/min) and, consequently, they had more need for pacemaker (63.3%) at start of
168 outpatient follow-up compared to individuals with no CKD (median for age = 52 years,
169 $P < 0.001$; median = 23mm, $P = 0.011$; median = 70 beats/min, $P = 0.026$; and 44.0%, P
170 = 0.001; respectively). Anemic patients were older (median for age = 63 years) and had
171 higher rate (12.2%, data not shown in Table) for end-stage renal disease (eGFR CKD-

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172 EPI < 30 mL/min/1.73m²) compared to non-anemic individuals (median for age = 55
173 years, P = 0.010 and 3.1%, P = 0.028; respectively). The other laboratory tests, 2D-
174 echocardiographic and 12-lead resting electrocardiography findings observed at study
175 entry were not associated with CKD or anemia status (Table 2).

176 Our population received maximal tolerated daily doses of medications, according
177 to guideline recommendations during the long-term of follow-up, considering mean daily
178 dose (mg/day) of Enalapril (14.8 ± 7.8), Captopril (77.6 ± 40.7), Ramipril (8.2 ± 2.8),
179 Losartan (47.5 ± 19.9), Carvedilol (25.9 ± 18.6), Metoprolol Succinate (123.0 ± 63.5),
180 Spironolactone (27.5 ± 12.4), Furosemide (88.7 ± 57.7), Amiodarone (229.2 ± 100.2),
181 and Digoxin (0.182 ± 0.065).

182 Clinical complications as hospitalization due to acute decompensated heart
183 failure, cardiogenic shock and need to heart transplantation were similar between patients
184 with CKD and anemia (P > 0.05 for all subgroups). During follow-up (median 799 days,
185 interquartile range 291 to 1441 days), 136 patients (58.6%) died. Similar rates for late-
186 mortality (more than 10 years) were showed by individuals with CKD (60.2%) and
187 anemia (68.3%) compared to those with no CKD (57.5%, P = 0.675) and non-anemics
188 (56.5%, P = 0.166); respectively.

189 After adjustment, the Cox Proportional Hazard Model analysis identified five
190 variables as independent predictors for all-cause mortality: systolic blood pressure (HR =
191 0.99, 95% CI 0.98 to 1.00; P = 0.015), use of implantable cardioverter-defibrillator (HR
192 = 0.48, 95% CI 0.27 to 0.85; P = 0.012), left anterior fascicular block (HR = 1.52, 95%
193 CI 1.08 to 2.13; P = 0.017), left ventricular end-diastolic diameter (HR = 1.04, 95% CI
194 1.02 to 1.06; P < 0.001), and serum sodium level (HR = 0.95, 95% CI 0.92 to 0.99; P =
195 0.020); (Table 3). Interestingly, anemia and CKD status according eGFR CKD-EPI (< 60
196 mL/min/1.73m²) were not retained in the multivariate model as independent predictors.

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197 Probability of survival for patients with CKD was 73.3%, 58.2%, 49.8%, and
198 33.6% at 12, 24, 36 and 60 months respectively, and for patients with no CKD was 83.0%,
199 67.3%, 56.5%, and 39.5% at 12, 24, 36, and 60 months respectively ($P = 0.254$). The
200 probability of survival for individuals with anemia was 72.9%, 64.9%, 52.1%, and 29.2%
201 at 12, 24, 36 and 60 months respectively, and for patients with no anemia was 80.3%,
202 63.1%, 53.9%, and 38.7% at 12, 24, 36, and 60 months respectively ($P = 0.111$). A lower
203 survival probability for patients with CC according to functional classes of CHF was
204 observed. Moreover, CKD and anemia status significantly showed an additional impact
205 on survival for patients with CC ($P < 0.001$, Figure 1).

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207 4. Discussion

208 In our study, we evaluated the long-term mortality risk stratification performance
209 of CKD and anemia in outpatients individuals with CC. Although previous studies have
210 addressed these variables on the prognosis of patients with CHF secondary to CC, this
211 work is the first cohort of Brazilians assessed using the eGFR CKD-EPI equation, that
212 provides more accurate estimates and better predictive power. Our investigation clearly
213 showed that survival probabilities of patients with CHF secondary to CC, allocated into
214 the same group for NYHA functional classes, are lower in those with CKD and anemia,
215 particularly in severe CHF individuals. Nonetheless, neither CKD (CKD-EPI equation)
216 nor anemia are independent predictors for all-cause mortality in patients with CC,
217 suggesting the poorer prognosis of this condition.

218 The CHF secondary to CC is a major public health problem in Latin America,
219 where about 10,000 people die of this disease annually (25), causing a profound socio-
220 economic impact (26). CKD is a common comorbidity in CHF patients and is associated
221 with the disease severity, worse prognosis and higher anemia prevalence (27, 28).

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222 Nevertheless, there are few published studies relating CKD and anemia with CHF in
223 patients with CC. In our series, the prevalence of CKD was 42.3%, similarly to that
224 observed in non-Chagas population enrolled in clinical trials or data obtained from
225 prospective longitudinal cohort studies (29-31).

226 Compared to individuals with no CKD, those with this condition were older, had
227 higher right ventricular diameters, showed lower median for spontaneous heart rate and,
228 consequently, more need for pacemaker, suggesting higher severity of CC. However, as
229 previously reported by our group (32) and Ferreira et al. (33), this investigation did not
230 confirm the isolated association between CKD and worse outcomes, including mortality.
231 The younger status of our patients with no underlying ischemic conditions (coronary
232 artery disease, peripheral and/or cerebrovascular diseases) may account, at least in part,
233 for our different results. Moreover, there was higher proportion of patients on renin-
234 angiotensin-aldosterone blockade (30) at maximal tolerated doses according guidelines
235 recommendations and individuals with chronic systolic dysfunction only, managed in
236 specialized heart failure outpatient clinic, facts that may have contributed to reduction of
237 renal impairment influence on mortality.

238 In end-stage renal disease population, anemia is well-recognized risk factor for
239 all-cause mortality (34), occurring mainly due to erythropoietin deficiency. In addition,
240 anemia also occurs in individuals with less severe renal dysfunction (16) in several other
241 disorders, as bone marrow depression, that interfere with the action of erythropoietin and
242 cellular release and utilization of iron (35). Our investigation showed a prevalence of
243 17.7% of anemia in CC population, slightly higher rate compared to similar Brazilian
244 cohort (36). However, this prevalence may vary from 4 to 69.7%, depending on the
245 diagnostic criteria and the study population, increasing in accordance to age and severity
246 of CHF and other comorbidities, as nutritional status and low weight patients (14, 37).

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247 On the other hand, our data are consistent with those of Miguel et al. (38), who studied a
248 smaller population of CC patients with CHF.

249 Furthermore, our findings were opposite to results described by Ferreira et al. (33)
250 that evidenced high prevalence of anemia among patients with CHF and an isolated
251 significant impact on their survival, even for mild degree of anemia. Although in distinct
252 population, Al-Ahmad et al. (16) hypothesized four potential explanations for poorer
253 prognosis in this individuals: level of hematocrit may be an additional marker of cardiac
254 function; severe CHF may cause anemia through undefined mechanisms; reduced
255 hematocrit may be a risk factor for ischemia, worsening this manifestation, mainly in
256 organisms with pre-existing heart disease; and lower hematocrit may result in ventricular
257 remodeling and cardiac dysfunction, culminating in a vicious cycle (39).

258 Anemic patients were older and showed higher rate for end-stage renal disease
259 compared to non-anemic individuals, emphasizing the known association between these
260 comorbidities (34, 40). Moreover, the subgroup analysis showed that patients allocated
261 into the same group for NYHA functional classes, had lower survival probability when
262 CKD and anemia coexist with CHF, evidencing the burden of these conditions. However,
263 in our series, neither CKD nor anemia were independent predictors of worse outcomes,
264 including hospitalization due to acute decompensated heart failure, cardiogenic shock,
265 need to heart transplantation, and long-term mortality. This finding suggests that they are
266 markers, and not independent risk-factors for, all-cause mortality in Brazilian patients
267 with CC (38).

268 In the multivariate model, left ventricular end-diastolic diameter and left anterior
269 fascicular block were positively associated with mortality, confirming previous findings
270 and well-known risk factors (41). On the other hand, our investigation showed systolic
271 blood pressure, use of ICD, and sodium serum level as independent protective factors for

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272 mortality. In accordance with our results, there was one percent of risk reduction at each
273 elevation of 1 mmHg of systolic blood pressure. One possible explanation for the
274 protective effect is the fact that patients with higher blood pressure undergo
275 pharmacologic treatment considering higher doses of renin-angiotensin-aldosterone
276 blockade drugs and beta-blockers, therapy with known survival improvement effect (41,
277 42). The fact that CC may considered a type of a catecholaminergic cardiomyopathy (43),
278 which is reversed by beta-blockers (44) lend further support to this assumption.
279 Regarding the use of ICD, we believe that the main reason for this finding is the
280 prevention of sudden cardiac death due to life-threatening ventricular arrhythmias,
281 common clinical complication in patients with severe CHF secondary to CC (8, 45).
282 Furthermore, as previously demonstrated by our group, hyponatremia is an independent
283 predictor of all-cause mortality for this population (46, 47) and may appear as
284 consequence of marked activation of the renin-angiotensin-aldosterone and autonomic
285 nervous systems, which ultimately determines myocyte death, reparative fibrosis, and
286 ventricular remodeling (48, 49). In this context, maybe be prudent avoid hyponatremia to
287 counteract the deleterious effect of activation of the involved systems.

288

289 **4.1 Strength and limitations**

290 This study has some limitations. Our investigation was a retrospective view of a
291 prospective patients' cohort. Therefore, unmeasured factors may have biased our
292 findings. We did not determine the etiology and the incidence of worsening CKD.
293 Detecting worsening renal dysfunction over time would be interesting to detect potential
294 association with death. Moreover, we did not investigate the specific cause of anemia,
295 including iron, folate and vitamin B12 deficiencies, dilutional anemia, and the anemia of
296 not surveyed chronic diseases. On the other hand, the data were prospectively collected,

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297 and the statistical analysis performed appears to have avoided the overfitting
298 phenomenon, thus making our data reliable. Besides, our sample size was reasonable, and
299 patients received evidence-based treatment, thus reflecting the contemporary era of heart
300 failure treatment.

301

302 **5. Conclusions**

303 CKD and anemia are not independent predictors for long-term mortality in patients
304 with CHF secondary to CC, by itself, has a worse prognosis. However, patients with these
305 comorbidities have lower survival probabilities, in despite of their respective NYHA
306 functional classifications.

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1093 452 **Figure Legend**
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1095 453
1096 454 **Figure 1.** Survival probabilities of patients with chronic heart failure secondary to Chagas
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1098 455 Cardiomyopathy according to the presence of chronic kidney disease and anemia
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1100 456 associated to New York Heart Association functional classes.
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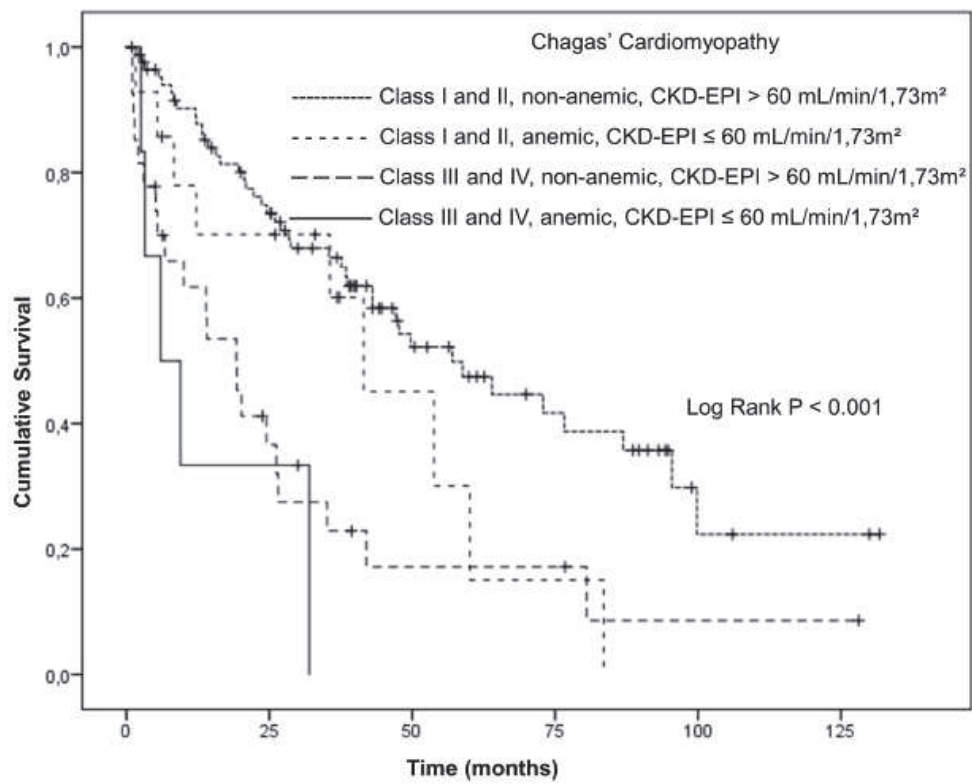


Table 1. Baseline characteristics of Chagas Cardiomyopathy prospective cohort (N = 232) analyzed for occurrence of chronic kidney disease and anemia.

Variable	Median (25 th - 75 th) or N (%)
Clinical characteristics	
Age (years)	56 (45 – 66)
Gender (male)	153 (65.9)
NYHA Classes I and II	157 (67.7)
NYHA Classes III and IV	75 (32.3)
Heart rate (beats/min)	68 (60 – 80)
Systolic blood pressure (mmHg)	110 (100 – 120)
Diastolic blood pressure (mmHg)	70 (60 – 80)
Type 2 Diabetes Mellitus	11 (4.7)
Laboratory analysis	
Sodium (mEq/L)	141 (138 – 144)
Potassium (mEq/L)	4.4 (4.0 – 4.8)
12-lead resting electrocardiography	
Atrial fibrillation	63 (27.2)
Implantable Cardioverter-Defibrillator	26 (11.2)
Pacemaker	124 (53.4)
Left bundle branch block	37 (15.9)
Right bundle branch block	93 (40.1)
Left anterior fascicular block	91 (39.2)
Low voltage of QRS	12 (5.2)
Ventricular premature contraction	108 (46.6)
2D-Echocardiography	
Left ventricular end-diastolic diameter (mm)	65 (59 – 71)
Left ventricular systolic diameter (mm)	55 (50 – 61)
Right ventricular diameter (mm)	25 (20 – 30)
Wall motion abnormalities	78 (33.6)
Left Ventricular Apical Aneurysm	15 (6.5)
Left Ventricular Ejection Fraction (%)	31.7 (24.5 – 40.0)

N=number of individuals; NYHA=New York Heart Association functional class.

Table 2. Association between chronic kidney disease or anemia and other baseline characteristics.

Baseline characteristics	CKD (N = 98)		Non-CKD (N = 134)		P-value	Anemic (N = 41)		Non-anemic (N = 191)		P-value
	Median	(25 th - 75 th) or N (%)	Median	(25 th - 75 th) or N (%)		Median	(25 th - 75 th) or N (%)	Median	(25 th - 75 th) or N (%)	
Clinical characteristics										
Age (years)	63 (54 - 68)	52 (42 - 60)	63 (52 - 70)	55 (44 - 64)	< 0.001	63 (52 - 70)	55 (44 - 64)	63 (52 - 70)	55 (44 - 64)	0.010
Gender (male)	59 (60.2)	94 (70.1)	32 (78.0)	121 (63.4)	0.114	32 (78.0)	121 (63.4)	32 (78.0)	121 (63.4)	0.720
NYHA Classes I and II	61 (62.2)	96 (71.6)	25 (61.0)	132 (69.1)	0.171	25 (61.0)	132 (69.1)	25 (61.0)	132 (69.1)	0.323
NYHA Classes III and IV	37 (37.8)	38 (28.4)	16 (39.0)	59 (30.9)		16 (39.0)	59 (30.9)	16 (39.0)	59 (30.9)	
Heart rate (beats/min)	66 (60 - 74)	70 (60 - 80)	70 (60 - 79)	68 (60 - 80)	0.026	70 (60 - 79)	68 (60 - 80)	70 (60 - 79)	68 (60 - 80)	0.873
Systolic blood pressure (mmHg)	100 (90 - 120)	110 (100 - 120)	110 (90 - 120)	110 (100 - 120)	0.150	110 (90 - 120)	110 (100 - 120)	110 (90 - 120)	110 (100 - 120)	0.640
Diastolic blood pressure (mmHg)	70 (60 - 70)	70 (60 - 80)	70 (60 - 80)	70 (60 - 80)	0.097	70 (60 - 80)	70 (60 - 80)	70 (60 - 80)	70 (60 - 80)	0.523
Type 2 Diabetes Mellitus	4 (4.1)	7 (5.2)	0 (0.0)	11 (5.8)	0.764	0 (0.0)	11 (5.8)	0 (0.0)	11 (5.8)	0.220
Laboratory analysis										
Sodium (mEq/L)	141 (137 - 144)	141 (138 - 144)	141 (135 - 145)	141 (138 - 144)	0.388	141 (135 - 145)	141 (138 - 144)	141 (135 - 145)	141 (138 - 144)	0.807
Potassium (mEq/L)	4.3 (4.0 - 4.7)	4.4 (4.1 - 4.8)	4.4 (4.1 - 4.9)	4.3 (4.0 - 4.8)	0.452	4.4 (4.1 - 4.9)	4.3 (4.0 - 4.8)	4.4 (4.1 - 4.9)	4.3 (4.0 - 4.8)	0.551
12-lead resting electrocardiography										
Atrial Fibrillation	30 (30.3)	33 (24.6)	13 (31.7)	50 (26.2)	0.311	13 (31.7)	50 (26.2)	13 (31.7)	50 (26.2)	0.470
ICD	10 (10.2)	16 (11.9)	5 (12.2)	21 (11.0)	0.679	5 (12.2)	21 (11.0)	5 (12.2)	21 (11.0)	0.788
Pacemaker	65 (66.3)	59 (44.0)	27 (65.9)	97 (50.8)	0.001	27 (65.9)	97 (50.8)	27 (65.9)	97 (50.8)	0.079
Left bundle branch block	12 (12.2)	25 (18.7)	7 (17.1)	30 (15.7)	0.188	7 (17.1)	30 (15.7)	7 (17.1)	30 (15.7)	0.828
Right bundle branch block	37 (37.8)	56 (41.8)	21 (51.2)	72 (37.7)	0.536	21 (51.2)	72 (37.7)	21 (51.2)	72 (37.7)	0.109
Left anterior fascicular block	36 (36.7)	55 (41.0)	16 (39.0)	75 (39.3)	0.507	16 (39.0)	75 (39.3)	16 (39.0)	75 (39.3)	0.977
Low voltage of QRS	4 (4.1)	8 (6.0)	3 (7.3)	9 (4.7)	0.521	3 (7.3)	9 (4.7)	3 (7.3)	9 (4.7)	0.449
Ventricular premature contraction	41 (41.8)	67 (50.0)	24 (58.5)	84 (44.0)	0.218	24 (58.5)	84 (44.0)	24 (58.5)	84 (44.0)	0.090
2D-Echocardiography										
Left ventricular end-diastolic diameter (mm)	66 (60 - 71)	65 (59 - 71)	64.9 (59 - 74)	65 (59 - 71)	0.497	64.9 (59 - 74)	65 (59 - 71)	64.9 (59 - 74)	65 (59 - 71)	0.856
Left ventricular systolic diameter (mm)	56 (50 - 62)	55 (50 - 61)	56 (47 - 64)	55 (50 - 61)	0.544	56 (47 - 64)	55 (50 - 61)	56 (47 - 64)	55 (50 - 61)	0.839
Right ventricular diameter (mm)	27 (22 - 31)	23 (18 - 30)	25 (20 - 31)	24 (20 - 30)	0.011	25 (20 - 31)	24 (20 - 30)	25 (20 - 31)	24 (20 - 30)	0.650
Wall motion abnormalities	32 (32.7)	46 (34.3)	10 (24.4)	68 (35.6)	0.790	10 (24.4)	68 (35.6)	10 (24.4)	68 (35.6)	0.168
Left Ventricular Apical Aneurysm	5 (5.1)	10 (7.5)	2 (4.9)	13 (6.8)	0.470	2 (4.9)	13 (6.8)	2 (4.9)	13 (6.8)	1.000
Left Ventricular Ejection Fraction (%)	32.0 (23.7 - 39.0)	31.7 (25.0 - 40.8)	31.1 (24.4 - 38.3)	31.7 (24.4 - 40.1)	0.462	31.1 (24.4 - 38.3)	31.7 (24.4 - 40.1)	31.1 (24.4 - 38.3)	31.7 (24.4 - 40.1)	0.590

CKD=Chronic kidney disease; N=number of individuals; NYHA=New York Heart Association functional class; ICD=implantable cardioverter-defibrillator.

Table 3. Cox proportional hazard model analysis for independent predictors of all-cause mortality during long-term follow-up (more than 10 years).

	Univariate			Multivariate		
	HR	95% CI	P-Value	HR	95% CI	P-Value
All patients						
Age (years)	1.00	0.99 – 1.01	0.876			
Gender (male)	1.27	0.88 – 1.84	0.207			
NYHA I Functional Class	0.54	0.37 – 0.77	0.001			
Heart rate (beats/min)	1.01	1.00 – 1.02	0.056			
SBP (mmHg)	0.98	0.97 – 1.00	0.006	0.99	0.98 – 1.00	0.015
DBP (mmHg)	0.98	0.96 – 1.00	0.015			
ICD	0.60	0.34 – 1.04	0.068	0.48	0.27 – 0.85	0.012
LAFB	1.63	1.16 – 2.28	0.005	1.52	1.08 – 2.13	0.017
LVDD (mm)	1.05	1.03 – 1.07	<0.001	1.04	1.02 – 1.06	<0.001
Serum sodium level (mEq/L)	0.93	0.89 – 0.96	<0.001	0.95	0.92 – 0.99	0.020
Anemia status	1.31	0.86 – 2.00	0.207			
CKD status	1.21	0.86 – 1.70	0.271			

HR=Hazard ratio; CI=Confidence interval; NYHA=New York Heart Association functional class; SBP=Systolic blood pressure; DBP=Diastolic blood pressure; ICD=Implantable cardioverter-defibrillator; LAFB= Left anterior fascicular block; LVDD=Left ventricular end-diastolic diameter; CKD=Chronic kidney disease.

Author Agreement Form – International Journal of Cardiology

Manuscript Title: Prognostic significance of Chronic Kidney Disease (CKD-EPI equation) and Anemia in patients with Chronic Heart Failure secondary to Chagas Cardiomyopathy

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This statement is to certify that all authors have seen and approved the manuscript being submitted, have contributed significantly to the work, attest to the validity and legitimacy of the data and its interpretation, and agree to its submission to the *International Journal of Cardiology*.

We attest that the article is the Authors' original work, has not received prior publication and is not under consideration for publication elsewhere. We adhere to the statement of ethical publishing as appears in the *International Journal of Cardiology* (citable as: Shewan LG, Rosano GMC, Henein MY, Coats AJS. A statement on ethical standards in publishing scientific articles in the *International Journal of Cardiology* family of journals. *Int. J. Cardiol.* 170 (2014) 253-254 DOI:10.1016/j.ijcard.2013.11).

On behalf of all Co-Authors, the corresponding Author shall bear full responsibility for the submission. Any changes to the list of authors, including changes in order, additions or removals will require the submission of a new author agreement form approved and signed by all the original and added submitting authors.

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Artigo 3: The CALLM Risk Score: a Toll to Predict Long-Term Mortality in Heart Failure Patients in an Endemic Area for Chagas Disease

Autores: Marcelo Arruda Nakazone, Ana Paula Otaviano, Maurício de Nassau Machado, Reinaldo Bulgarelli Bestetti.

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Abstract

Background: The clinical practice guidelines for chronic heart failure (CHF) recommend the use of validated risk models to estimate prognosis. We aimed to develop and to validate a simple method for predicting long-term mortality in ambulatory CHF patients in an area where Chagas disease is endemic. **Methods:** The development cohort included 450 patients receiving evidence-based treatment for CHF, prospectively followed for eleven years. Independent prognostic factors were identified using logistic regression analysis and thresholds defined to stratify low-, intermediate-, and -high-risk groups. The CALLM Risk Score was validated in an independent retrospective cohort with 228 individuals. **Results:** After multivariate analysis, five variables were independently associated with long-term mortality and subsequently included in the CALLM Risk Score: Chagas Cardiomyopathy alone ($P<0.001$), age ≥ 60 years ($P=0.002$), left ventricular ejection fraction $<40\%$ ($P=0.027$), left anterior fascicular block ($P=0.005$), and male gender ($P=0.039$). Three risk groups were identified: low-risk (score ≤ 4 points, 14.1% of mortality), intermediate-risk (score 5-6 points, 25.3% of mortality), and high-risk (score ≥ 7 points, 38.3% of mortality). The CALLM Risk Score showed discrimination characteristics with area under receiver-operating characteristic curves of 0.66 [95%CI (0.58–0.74), $P<0.001$] and consistent calibration [χ^2 Hosmer-Lemeshow(6)=2.71, $P=0.845$] in the validation data set. **Conclusions:** The CALLM Risk Score represents a simple method with a limited number of non-invasive variables successfully predicted long-term mortality in a real-world Latin-American cohort of CHF patients in a referral center for Chagas disease. A high-risk category of patients can be easily identified in clinical practice and to alert for a rigorous management.

Keywords	Chronic Heart Failure; Chagas Cardiomyopathy; Prognosis; Mortality.
Taxonomy	Chagas Disease, Cardiomyopathy, Heart Failure
Manuscript category	Original clinical research studies, basic science/translational research papers
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There are no linked research data sets for this submission. The following reason is given:
Data will be made available on request

August, 21st, 2017.

Prof. Dr. Paolo G. Camici, MD, FESC, FACC, FAHA, FRCP
Editor-in-Chief of
International Journal of Cardiology

Dear Prof. Dr. Camici,

We are sending a manuscript entitled "The CALLM Risk Score: a tool to predict long-term mortality in heart failure patients in an endemic area for Chagas disease" for evaluation of publication in *International Journal of Cardiology*.

Chronic heart failure (CHF) is a leading cause of morbidity and mortality worldwide, representing a major public health problem, with an increasing incidence and prevalence. The clinical practice guidelines for CHF recommend the use of validated risk models to estimate prognosis.

However, the prognostic indices currently employed in clinical practice have several limitations. They are based on either peak oxygen consumption or invasive measures, were designed to assess patients with severe CHF in need of cardiac transplantation, were validated during hospitalization for acute decompensated heart failure, not included a substantial proportion of individuals taking contemporary evidence-based treatments, and rarely included Chagas Cardiomyopathy patients.

In this paper, we have shown that the CALLM Risk Score allows prediction of survival of ambulatory CHF patients with the use of easily obtained non-invasive variables and confirm the negative burden of Chagas etiology in CHF prognosis. Moreover, the model provides an accurate identification of a subgroup of high-risk patients who should be closely managed. We think that the paper may be of interest not only to readers from Latin America, but also to those dealing with this condition in non-endemic countries in Europe and USA.

For this reason, we are submitting the paper to *International Journal of Cardiology*.

Thank you in advance for your attention.

Sincerely yours,

Reinaldo B. Bestetti, MD, PhD, FESC

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1 *Title Page*

2 **The CALLM Risk Score: a tool to predict long-term mortality in heart**
3 **failure patients in an endemic area for Chagas disease ☆**

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12 ☆The authors report no relationship that could be construed as a conflict of interest.

13 This author takes responsibility for all aspects of the reliability and freedom from bias of
14 the data presented and their discussed interpretation.

15

16 **Short title:** The CALLM risk score

17 **Key words:** Chronic Heart Failure; Chagas Cardiomyopathy; Prognosis; Mortality.

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23 **Abstract**

24 **Background:** The clinical practice guidelines for chronic heart failure (CHF) recommend
25 the use of validated risk models to estimate prognosis. We aimed to develop and to
26 validate a simple method for predicting long-term mortality in ambulatory CHF patients
27 in an area where Chagas disease is endemic.

28 **Methods:** The development cohort included 450 patients receiving evidence-based
29 treatment for CHF, prospectively followed for eleven years. Independent prognostic
30 factors were identified using logistic regression analysis and thresholds defined to stratify
31 low-, intermediate-, and -high-risk groups. The CALLM Risk Score was validated in an
32 independent retrospective cohort with 228 individuals.

33 **Results:** After multivariate analysis, five variables were independently associated with
34 long-term mortality and subsequently included in the CALLM Risk Score: Chagas
35 Cardiomyopathy alone ($P<0.001$), age ≥ 60 years ($P=0.002$), left ventricular ejection
36 fraction $<40\%$ ($P=0.027$), left anterior fascicular block ($P=0.005$), and male gender
37 ($P=0.039$). Three risk groups were identified: low-risk (score ≤ 4 points, 14.1% of
38 mortality), intermediate-risk (score 5-6 points, 25.3% of mortality), and high-risk (score
39 ≥ 7 points, 38.3% of mortality). The CALLM Risk Score showed discrimination
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41 (0.58–0.74), $P<0.001$] and consistent calibration [χ^2 Hosmer-Lemeshow(6)=2.71,
42 $P=0.845$] in the validation data set.

43 **Conclusions:** The CALLM Risk Score represents a simple method with a limited number
44 of non-invasive variables successfully predicted long-term mortality in a real-world
45 Latin-American cohort of CHF patients in a referral center for Chagas disease. A high-

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46 risk category of patients can be easily identified in clinical practice and to alert for a
47 rigorous management.

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49 **1. Introduction**

50 Chronic heart failure (CHF) is a leading cause of morbidity and mortality
51 worldwide, representing a major public health problem, with an increasing incidence and
52 prevalence (1-3). The likelihood of survival may vary significantly among different
53 etiologies and subsets of patients with CHF. In this context, CHF secondary to Chagas
54 cardiomyopathy (CC) shows a poorer prognosis compared to other etiologies (4-7),
55 mainly in Latin American where the disease is endemic (3, 8, 9).

56 The prognostics indexes currently employed in clinical practice have some
57 limitations. They based on either peak oxygen consumption or invasive measurements,
58 are designed to assess patients with severe CHF in need of cardiac transplantation (10-
59 12), and were validated during hospitalization for acute decompensated heart failure (13-
60 15). Furthermore, most of these models have not included a substantial proportion of
61 individuals taking contemporary evidence-based treatments, including beta-blockers,
62 angiotensin-converting enzyme inhibitors / angiotensin-receptor blockers, and
63 spironolactone at target doses. Finally, a few models include CC patients, an important
64 CHF etiology in our region.

65 The purpose of this investigation was to develop and validate a multivariate risk
66 model for predicting long-term mortality in an independent, non-clinical trial, outpatient
67 CHF population using variables easily assessable (demographic, 12-lead resting
68 electrocardiogram, and 2D-echocardiography data) in clinical practice.

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70 2. Methods

71 2.1 Study population

72 In São José do Rio Preto city/Brazil and neighboring towns, management of CHF
73 involves general and specialized outpatient services, emergency departments,
74 intermediate and intensive care units, covering a population of 2 million inhabitants. In
75 our service, the diagnosis of CHF has been made by the attending physicians using the
76 Framingham Criteria for Heart Failure Diagnosis (16). Chagas disease was confirmed by
77 two positive serologic test for Chagas (ELISA and indirect immunofluorescence)
78 according to the World Health Organization recommendation (17). After clinical
79 diagnosis of CHF, a 2-D echocardiography was performed in each patient to confirm the
80 clinical diagnosis, quantify this condition using left ventricular ejection fraction (LVEF),
81 and guide the treatment according to the classification. Individuals with clinical diagnosis
82 for CHF and LVEF < 55% on 2-D echocardiography confirming left ventricular systolic
83 dysfunction were screened for this study. Patients with a concomitant disease that could
84 potentially cause heart disease by itself were excluded.

85 For development cohort, all eligible patients were routinely followed in a public
86 referral center for CHF in a Brazilian Medical School facility from January, 2000 to
87 December, 2010. The CHF medical therapy information was retrieved from a
88 prospectively collected database of patients. All patients received evidence-based
89 treatment for CHF, according to international guidelines of the time. Thus, treatment with
90 angiotensin converting enzyme inhibitors or angiotensin receptor blocks and beta-
91 blockers at targeted or maximal tolerated doses was considered for all patients. Those
92 with pitting edema received furosemide, while those in the NYHA Class III/IV with a
93 LVEF < 30% were treated with digoxin. Patients usually visited the outpatient service
94 each four months, and a senior heart failure specialist (RBB) supervised the treatment

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95 given. Patients were followed until the close study; they were also censored at heart
96 transplantation or death. For validation, we used separate local sample. There was no
97 patients data overlap between the two samples.

98 This study was conducted in accordance with the Declaration of Helsinki and
99 approved through the local Human Research Ethics Committee of São José do Rio Preto
100 Medical School (CAAE - 02716112.6.0000.5415). The need for individual informed
101 consent was waived, as this study was a retrospective analysis of prospectively collected
102 data for routine care, and breach of privacy or anonymity did not occur.

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104 **2.2 Data collection**

105 The demographics data, New York Heart Association (NYHA) functional class,
106 heart rate, systemic arterial pressure, medical history, standard laboratory tests, 12-lead
107 resting electrocardiogram and cardiac electronic implantable devices information were
108 noted at study entry by attending physicians, and were retrieved from medical charts
109 records. The available definition and data collection approaches were constant during the
110 period of the study. The methodology of this investigation is consistent with the STROBE
111 checklist for observational studies (18).

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113 **2.3 Outcome**

114 The primary outcome was the long-term (more than 10 years) mortality based on
115 review of hospital records or confirmed by telephone contacts with first-degree patients'
116 relatives.

117 **2.4 Statistical Analysis**

118 Descriptive statistics was used to assess characteristics of two samples.
119 Continuous data with normal distribution was expressed as mean and standard deviation,

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120 whereas categorical variables were expressed as absolute numbers and percentages.
121 Comparisons used the *t*-test for continuous variables and the Chi-square test for
122 categorical variables.

123 We set up a model from the development cohort using multivariate analysis,
124 including factors with clinical relevance or that were found significant at $P < 0.10$ in
125 univariate analysis, using a stepwise backward elimination method. The first-order
126 interactions in multivariable analysis were investigated ($P < 0.05$). Then, we established a
127 scoring system based on the variables independently associated with mortality, attributing
128 weights according to the odds ratio ($\exp(\text{logistic regression } \beta\text{-coefficients})$). Secondly,
129 the model was retested for validation, using bootstrap (19), with 1000 re-samples. We
130 evaluated the discrimination using the area under the receiver-operating characteristic
131 (ROC) curve, in the development and the validation cohorts. To assess the calibration,
132 Hosmer-Lemeshow goodness-of-fit tests using deciles of poor outcome were performed
133 on the development, validation cohort and on 1000 bootstrapping re-samples. P -values $>$
134 0.1 were considered to indicate good agreement (20). We defined the three risk groups
135 for mortality (low-, intermediate-, and high-risk) by splitting the scoring system in tertiles
136 of patients. Cumulative survival graphic (Kaplan-Meier) was constructed to show
137 differences in event-free survival (mortality from all-causes) according The CALLM Risk
138 Score.

139 All tests were two-sided with a P -value considered as significant if < 0.05 and
140 were performed using IBM SPSS Statistical Package v.21 (IBM Corporation, Armonk,
141 NY).

142 3. Results

143 3.1 Development cohort

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145 The CALLM study included 450 ambulatory patients (64.7% male) with CHF,
146 aged 49 – 68 years (mean 58 ± 14). Most patients (68.2%) were in NYHA functional
147 classes I or II at study entry. Chagas etiology of CHF was present in 34.4% of individuals,
148 followed by Hypertensive (19.1%), Chagas-Hypertensive association (15.8%), Idiopathic
149 Dilated Cardiomyopathy (14.9%), Ischemic (11.8%), and Chagas-Ischemic association
150 (4.0%). Mean left ventricular ejection fraction (LVEF) was $35.2 \pm 10.6\%$ (range 28.0 –
151 43.0%), and most patients (63.1%) presented LVEF < 40%. About 30.4% of patients had
152 atrial fibrillation, 33.1% had left anterior fascicular block (LAFB), 34.2% needed
153 pacemaker and 6.4% had implantable cardioverter-defibrillator. Among laboratory
154 analysis, anemia [hemoglobin < 12 g/dL for women and < 13 g/dL for men] and
155 glomerular filtration rate < 60 mL/min/1.73m² [according Chronic Kidney Disease
156 Epidemiology Collaboration (CKD-EPI)] was observed in 30.0% and 47.0% of patients,
157 respectively.

158 This cohort received maximal tolerated daily doses of medications, according to
159 guideline recommendations during the long-term of follow-up, considering mean daily
160 dose (mg/day) of Enalapril (16.2 ± 8.3), Captopril (93.7 ± 44.1), Ramipril (8.5 ± 2.7),
161 Losartan (50.3 ± 23.3), Carvedilol (32.2 ± 19.9), Metoprolol Succinate (127.7 ± 67.7),
162 Spironolactone (28.0 ± 15.2), Furosemide (80.2 ± 54.2), Amiodarone (222.3 ± 89.8), and
163 Digoxin (0.189 ± 0.067).

164 Hospitalization due to acute decompensated heart failure, cardiogenic shock, and
165 need to heart transplantation rates were 74.0%, 26.4%, and 6.0%, respectively. During
166 follow-up (1554 ± 1106 days), 197 CHF patients (43.8%) died.

167 The final multivariate model, which area under the curve (AUC) yielded 0.71
168 [95% CI 0.66 – 0.76] in the development cohort, identified five independent predictors
169 for long-term mortality (Table 1): Chagas Cardiomyopathy etiology (OR=3.00, 95% CI

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170 1.99 – 4.54; $P < 0.001$), Age ≥ 60 years (OR=1.91, 95%CI 1.27 – 2.87; $P = 0.002$), LVEF <
171 40% (OR=1.62, 95% CI 1.06 – 2.49; $P = 0.027$), LAFB (OR=1.84, 95%CI 1.20 – 2.83;
172 $P = 0.005$), and male gender (OR=1.57, 95%CI 1.02 – 2.40; $P = 0.039$). Comparison of
173 baseline characteristics between development and validation cohorts are shown in Table
174 2. After, the Table 3 shows the good calibration of The CALLM Score, considering the
175 predicted versus observed mortality rates. The simplest method to estimate the risk score
176 for long-term mortality in CHF ambulatory patients consists in adding 3 points for Chagas
177 etiology, 2 points each for any of the following: age ≥ 60 years, LVEF < 40%, and LAFB,
178 and 1 point for male gender (Table 4).

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180 3.2 Validation cohort

181 The external validation cohort analysis confirmed that the model performed as
182 much in calibration [χ^2 Hosmer-Lemeshow (6) = 2.71, $P = 0.845$] and discrimination
183 characteristics [area under ROC curve 0.66, 95%CI 0.58 – 0.74]. The CALLM Risk Score
184 performance for predicting long-term mortality in CHF patients, stratifying the risk in
185 three categories (low-, intermediate-, or high-risk) included: 85 patients (37.3%) in the
186 low-risk subgroup, showing an average long-term mortality of 14.1%. The intermediate-
187 risk subgroup considered 83 individuals (36.4%), showing a mortality rate about of
188 25.3%, and the high-risk subgroup, that included 60 patients (26.3%), showed that the
189 long-term mortality could reach rates around 38.3%.

190 The long-term survival probabilities of patients with CHF according risk
191 stratifications (low-, intermediate-, and high-risk) provided by the CALLM Risk Score
192 are shown in Figure 1.

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194 4. Discussion

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195 In the present study, we enrolled a large ambulatory CHF population cohort with
196 a wide spectrum of etiologies and left ventricular systolic function. Importantly, a large
197 proportion of the study population was comprised of patients with CC, which reflects the
198 everyday clinical practice in an area where CC is endemic. The novelty of this work is
199 that we developed and validated the CALLM Risk Score, a simple risk model based on
200 commonly obtained and non-invasive variables for predicting long-term mortality.

201 The CALLM Risk Score accurately discriminated three groups of risk for CHF
202 patients, and offered an interesting tool for early, reliable, and easily assessment of
203 prognosis in ambulatory clinical practice. In this sense, therefore, our study is not only of
204 interest for physicians working in areas where CC is endemic, but also to those working
205 in USA and Europe, where Chagas disease immigration is important.

206 Importantly, our validation cohort included patients with the full range of
207 etiologies like Chagas cardiomyopathy, hypertensive, idiopathic and ischemic heart
208 disease as well as the association of Chagas disease with hypertensive or ischemic heart
209 disease. The heart failure symptoms ranged from NYHA functional class I to IV and the
210 LVEF at the study entry ranged from 27.4% to 42.0%. Our validation cohort also included
211 a significant amount of patients at intermediate and high risk in whom the risk prediction
212 could be more challenging (21), representing the population in whom validation results
213 may be most widely applicable.

214 Our multivariate model yielded an AUC of 0.71 and Hosmer-Lemeshow
215 goodness-of-fit test ($P=0.845$) in the development cohort. It is important to highlight that
216 the calibration (the agreement between observed and predicted risk, obtained by Hosmer-
217 Lemeshow test) is also very important in prognostic settings, considering that the main
218 purpose is to predict future risk of the target population (22), as observed for the CALLM
219 Risk Score. On the other hand, the discrimination (useful for separating people with

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217 Lemeshow test) is also very important in prognostic settings, considering that the main
218 purpose is to predict future risk of the target population (22), as observed for the CALLM
219 Risk Score. On the other hand, the discrimination (useful for separating people with

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534 220 disease from without disease, for example, obtained by c-indexes) would be more
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536 221 important in diagnostic settings.
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539 222 The score indicated that CC alone, age ≥ 60 years, LVEF $< 40\%$, LAFB, and male
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541 223 gender had independent predictive power. Although with some variations in cut-off
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543 224 points, LVEF was similarly obtained as independent predictors for mortality by The
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545 225 MUSIC Risk Score (23), beyond age and male gender by The Seattle Heart Failure Model
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547 226 (21). Differently, our risk model highlighted CC alone and LAFB presence in 12-lead
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549 227 resting electrocardiogram, very common and associated finding with CC, as independent
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551 228 predictors for mortality, suggesting the negative burden of Chagas etiology in CHF
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553 229 prognosis (4, 6, 24). Furthermore, our investigation did not identify NYHA functional
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555 230 class and renal dysfunction as risk predictors in CHF patients, as demonstrated previously
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557 231 (21, 25). Among other aforementioned limitations, previous models (10, 25-27) did not
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559 232 include a substantial number of individuals with optimized medical therapy according
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561 233 current guidelines (1-3), and/or were derived in hospitalized patients (13-15), and have
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563 234 been developed in participants from clinical trials (21, 28), limiting their use in daily
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565 235 clinical practice.
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568 236 Previous studies have shown that the etiology of Chagas disease is by itself an
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570 237 independent predictor of all-cause mortality in patients with CHF (4-7, 29). However, the
571
572 238 impact of the etiology of CC on all-cause mortality has never been previously reported in
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574 239 a model like we used in this study, with proper calibration and external validation.
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576 240 Therefore, our study suggests that patients with CC with CHF need to be closely followed
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578 241 and aggressively treated in view of the poor prognosis of this condition.
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580 242 Early prognostication of poor outcome in CHF patients remains unsolved
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582 243 challenge. Predicting an individual's risk in daily clinical practice requires only adding
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584 244 up the points of the predictors verified in that patient to calculate the long-term mortality
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245 risk score. Moreover, we know that an adequate stratification could help for decisions
246 and may permit a better allocation of resources. Indeed, this possibility may lead to faster
247 adjust the treatments and anticipate the management of these most severe patients in
248 specialized CHF units, whereas most low-risk CHF patients could require a less intensive
249 follow-up. In this context, the CALLM Risk Score can represent a simple tool for
250 everyday clinical practice in areas where CC is endemic and non-endemic, aiming to
251 improve the standardization of care and decision-making.

252

253 **4.1 Strength and limitations**

254 There are some limitations to our investigation. The CALLM Risk Score was
255 derived in a cohort of CHF patients prospectively followed in a single-center and may not
256 be generalizable to a wider population. Its benefit in diastolic heart failure is uncertain,
257 because this score was derived and validated only in patients with systolic heart failure.
258 On the other hand, the model was developed based on a large ambulatory cohort with a
259 wide spectrum of CHF etiologies and left ventricular systolic function, mainly CC
260 patients, as seen in everyday clinical practice in areas where CC is endemic and non-
261 endemic, showing good performance for predicting long-term mortality in CHF patients,
262 stratifying the risk in three categories (low-, intermediate-, or high-risk). Furthermore, the
263 CALLM Risk Score was well validated in a separate cohort, including also real-world
264 population. Further studies are needed with validation of the CALLM Risk Score in other
265 CHF cohorts to confirm its value as a generalizable clinical prediction **tool**.

266

267 **5. Conclusions**

268 The CALLM Risk Score allows prediction of survival of ambulatory CHF patients
269 with the use of easily obtained non-invasive variables and confirm the negative burden of

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270 Chagas etiology in CHF prognosis. The model provides an accurate identification of a
271 subgroup of high-risk patients who should be closely managed.

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367 **Figure Legend**

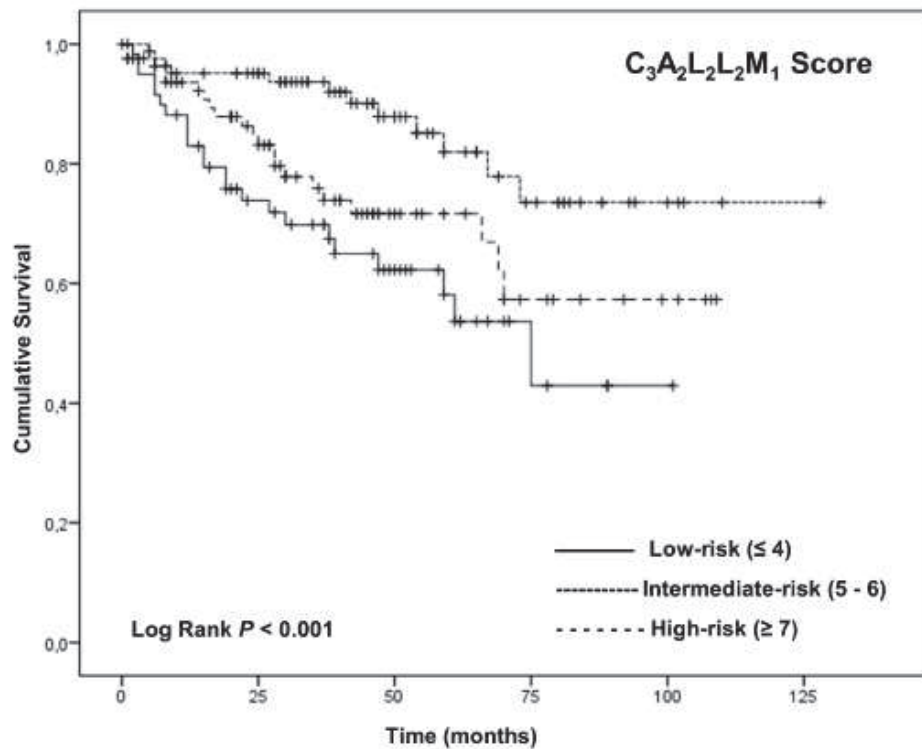
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369 **Figure 1.** Long-term Survival probabilities of patients with chronic heart failure
370 according risk stratifications (low-, intermediate-, and high-risk) provided by the CALLM
371 Risk Score.

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Number Exposed to Risk	0	25	50	75	100	125
Low-risk	84	68	35	16	4	1
Intermediate-risk	82	52	20	9	4	0
High-risk	59	37	19	4	1	0

Table 1. Univariate and multivariate analysis of prognostic factors associated with long-term mortality in the development cohort (N=450 individuals).

Parameters	N (%)	Univariate			Multivariate		
		OR	95% CI	P-Value	OR	95% CI	P-Value
Chagas Cardiomyopathy alone	155 (34.4)	3.38	2.28 - 5.02	< 0.001	3.00	1.99 - 4.54	< 0.001
Age ≥ 60 years	223 (49.6)	1.82	1.25 - 2.65	0.002	1.91	1.27 - 2.87	0.002
Left Ventricular Ejection Fraction < 40%	284 (63.1)	1.70	1.15 - 2.52	0.008	1.62	1.06 - 2.49	0.027
Left Anterior Fascicular Block	298 (66.2)	2.24	1.50 - 3.34	< 0.001	1.84	1.20 - 2.83	0.005
Male (gender)	291 (64.7)	1.47	0.99 - 2.18	0.057	1.57	1.02 - 2.40	0.039

N=Number of individuals; OR=Odds ratio; CI=Confidence interval.

Table 2. Comparison of baseline characteristics between development and validation cohorts.

Predictors	All patients (N=678)		Development Cohort (N=450)		Retrospective Validation (N=228)		F-value
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	
Chagas Cardiomyopathy	234 (34.5)	155 (34.4)	79 (34.6)	0.958			
Age ≥ 60 years	306 (45.1)	223 (49.6)	83 (36.4)	0.001			
Left Ventricular Ejection Fraction < 40%	440 (64.9)	284 (63.1)	156 (68.4)	0.171			
Left Anterior Fascicular Block	434 (64.0)	298 (66.2)	136 (59.6)	0.386			
Male (gender)	459 (67.7)	291 (64.7)	168 (73.7)	0.018			

N=number of individuals.

Table 3. Calibration of the CALLM Score.

Risk	Points	N	Death		Alive	
			Observed N	Predicted N	Observed N	Predicted N
Low	≤ 4	85	12	11.72	73	73.28
Medium	5-6	83	21	20.67	62	62.33
High	≥ 7	60	23	23.61	37	36.39

Hosmer-Lemeshow Goodness-of-fit test ($P = 0.845$).

N=number of individuals.

Table 4. Risk of long-term mortality expressed as a point-based scoring system, with the acronym C₃A₂L₂L₂M₁ Score.

Risk factor	Score
Chagas Cardiomyopathy alone	3
Age ≥ 60 years	2
Left Ventricular Ejection Fraction < 40%	2
Left Anterior Fascicular Block	2
Male (gender)	1

Author Agreement Form – International Journal of Cardiology

Manuscript Title: The CALLM Risk Score: a tool to predict long-term mortality in heart failure patients in an endemic area for Chagas disease

List of all Authors: Marcelo Arruda Nakazone, Ana Paula Otaviano, Mauricio Nassau Machado, Reinaldo Bulgarelli Bestetti

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This statement is to certify that all authors have seen and approved the manuscript being submitted, have contributed significantly to the work, attest to the validity and legitimacy of the data and its interpretation, and agree to its submission to the *International Journal of Cardiology*.

We attest that the article is the Authors' original work, has not received prior publication and is not under consideration for publication elsewhere. We adhere to the statement of ethical publishing as appears in the *International of Cardiology* (citable as: Shewan LG, Rosano GMC, Henein MY, Coats AJS. A statement on ethical standards in publishing scientific articles in the *International Journal of Cardiology* family of journals. *Int. J. Cardiol.* 170 (2014) 253-254 DOI:10.1016/j.ijcard.2013.11).

On behalf of all Co-Authors, the corresponding Author shall bear full responsibility for the submission. Any changes to the list of authors, including changes in order, additions or removals will require the submission of a new author agreement form approved and signed by all the original and added submitting authors.

All authors are requested to disclose any actual or potential conflict of interest including any financial, personal or other relationships with other people or organizations within three years of beginning the submitted work that could inappropriately influence, or be perceived to influence, their work. If there are no conflicts of interest, the COI should read: "The authors report no relationships that could be construed as a conflict of interest".

Conclusões

(Artigo 1) Nosso estudo mostra que Remodelamento Reverso Ventricular Esquerdo não prediz redução de mortalidade a longo prazo em pacientes com Cardiomiopatia Chagásica. Este é o primeiro estudo a mostrar que a gravidade da progressão da doença parece anular o potencial benefício do Remodelamento Reverso Ventricular Esquerdo em pacientes com Cardiomiopatia Chagásica. Futuras pesquisas, entretanto, com número populacional adequado, deveriam ser conduzidas a fim de confirmar estes achados.

(Artigo 2) Doença Renal Crônica e Anemia não são preditores independentes de mortalidade a longo prazo em pacientes com Insuficiência Cardíaca Sistólica Crônica secundária a Cardiomiopatia Chagásica que, por si só, tem pior prognóstico. Entretanto, pacientes com estas comorbidades tem menores probabilidades de sobrevida, a despeito de suas respectivas classificações funcionais NYHA.

(Artigo 3) O Escore de Risco CALLM permite prever sobrevida ambulatorial em pacientes com Insuficiência Cardíaca Sistólica Crônica a partir de variáveis não invasivas e de fácil acesso, confirmando o impacto negativo da Cardiomiopatia Chagásica no prognóstico da Insuficiência Cardíaca Sistólica Crônica. O modelo providencia uma acurada identificação de um subgrupo de alto risco que deveria ser manejado rigorosamente.

Conclusões gerais

Remodelamento Reverso Ventricular Esquerdo, Doença Renal Crônica e Anemia não têm impacto nos desfechos de pacientes com Cardiomiopatia Chagásica Crônica, sugerindo pior desfecho clínico inerente à esta condição.

É possível estratificar adequadamente pacientes portadores de Insuficiência Cardíaca Crônica em nível ambulatorial com métodos simples e não invasivos, como os sugeridos pelo escore de risco CALLM, desenvolvido e validado em coorte de área endêmica para Doença de Chagas.

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